## Medical Board of California

#### Department of Consumer Affairs

**Date:** October 10, 2011

**To:** Members, Executive Committee

From: Janie Cordray, Research Consultant

#### Subject: Strategic Plan Discussions on October 27, 2011

On October 27<sup>th</sup>, the Executive Committee will meet to discuss the first draft of the complete Strategic Plan. The draft plan is attached, as well as a chart showing the priorities of the objectives.

On July 27<sup>th</sup>, the Executive Committee met to refine the draft of the mission statement and goals, and to consider ideas for objectives, ultimately to form recommendations to the full Board membership. At the July 28<sup>th</sup> Board meeting, at the recommendation of the Executive Committee, members adopted the mission statement and goals that are contained in the attached plan.

In addition, members reached a consensus on ideas and concepts to be developed into objectives. In the attached draft plan, staff has incorporated the ideas into objectives, as well as developed activities and tasks to fulfill and measure the objectives to meet the goals.

We have worked to draft objectives that rightly reflect the conceptual ideas agreedupon by the members. In addition, we have categorized the objectives into priorities in light of the mission statement and the Board's legal authority and responsibilities. Please note that the dates and priorities may not appear to coincide. That is to say, the highest priority may not have been assigned the most immediate date. This is due to a number of factors, including timing of mandated reports, Sunset Review, the ability to hire personnel, and so forth. The dates for the activities have been categorized by season and year, and the season coincides with the Board's meeting dates. (Winter = February, Spring = May, Summer = July, and Fall = October)

At the October 27<sup>th</sup> Executive Committee meeting, members will be asked to review the objectives, activities and priorities in the draft plan. (As the mission statement and goals have already been adopted by the Board.)

In order to prepare for the meeting, staff would ask the members to pay special attention to the priorities in the enclosed plan and chart. As you can see from the chart, there are 14 objectives listed in "Priority A," 10 in "Priority B," and 12 in "Priority C." Although all of the objectives are important and could be categorized as a first priority, the Board does not have full staffing and therefore the objective priorities may not be realistic or achievable within the times identified.

At the meeting, staff will be asking the members to:

- 1. Adopt, reject, amend, or add to the draft objectives and supporting tasks, and determine whether or not they fully represent the concepts agreed upon at the July Board meeting;
- 2. Consider the objectives' assigned priorities, and determine if they correctly represent the opinions of the Committee; and
- 3. Adopt a recommendation to the full Board that will be discussed at the October 28<sup>th</sup> quarterly Board meeting.

I will be at the October 27<sup>th</sup> meeting to answer the members' questions. In the meantime, if you have any questions or suggestions, feel free to contact me at janie.cordray@mbc.ca.gov.

### Priority of Objectives:

## Key to priority categories:

- A. Mission critical
- B. Secondary to mission critical activities
- C. Tertiary to mission critical activities
- D. Non-mission critical, but important to consumer protection
- E. Non-mission critical, but deemed by members as important to consumer protection or physician practice

The charts that follow categorize the Board's objectives by the above categories.

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	Priority A
Objective #	
1.2:	Examine current CME structure, its effectiveness, the current California
· .	requirements, and how it should be examined.
1.5	Conduct a comprehensive review of international schools
	Develop a plan to conduct a complete review of all laws and regulations relating
2.1	to licensing to identify those no longer relevant, and what needs to be added or
	eliminated. Identify requirements that are not necessary to the safety of practice
	but may be serving as barriers to qualified applicants, as well as simply updating
	requirements to be congruent with current educational environments. (To be
	done in conjunction with Objective 2.2)
	Develop a plan to conduct a complete review of all laws and regulations relating
2.2	to enforcement to identify those that are no longer useful, and augment those
<b>.</b> .	that are needed for public protection. Identify the Board's regulatory authority
	for promulgating new regulations and also identify those issues that require
	legislation. (To be done in conjunction with Objective 2.1)
	Conduct a review of the Vertical Enforcement model to assure uniform
2.3	implementation in all offices and identify and redundancies that are increasing
· ·	cost without producing benefits.
	Examine complaint handling priorities. Educate members on how complaints are
2.4	prioritized, as well as the legislatively mandated priorities. Determine if there is a
	need to change the priorities.
	Examine the Expert Reviewer Program and policies to determine how it may be
2.6	improved, including recruitment, evaluation of experts, opportunities for
	education, and policies governing the Board's use of experts.
· · · .	Work to clarify the Board's responsibility to regulate outpatient surgery centers,
2.8	and obtain the resources to be effective.
	Identify more effective methods to promote the Expert Review Program to
3.3	recruit qualified physicians.
3.4	Evaluate the appropriateness and effectiveness of the Physician Recognition
	Award, and the methods used to promote nominations. (Please note: This is
	placed in both A & E categories to be determined by the Board members.)
5.1	Licensing applications to be reviewed within 45 days.
5.2	Reduce disciplinary timelines, complaint processing and investigations by 10%;
	Complaint processing averaging under 90 days with 50% under 60 days.
	Conduct an annual review of all of the Committees established by the Board to
5.4	determine if they are still needed, if they are fulfilling the purpose of which they
•	were established, and determine if they should continue, be eliminated, or be
	merged with other committees.
5.5	Evaluate and enhance organizational effectiveness through the establishment of
•	criteria for a periodic self-evaluation procedure.

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	Priority B
Objective #	
1.1	Examine and identify Methods to ensure physicians remain current.
1.3	Define what is necessary to promote safe re-entry into medical practice after extended absences.
1.4	Establish a committee to examine the FSMB Maintenance of Licensure initiative to study what should be adopted in California, and how it can collaborate with the FSMB and ABMS certifying boards.
2.7	Identify opportunities to better educate judges/hearing officers.
2.9	In light of reduced membership and funding, examine Board responsibilities that could be eliminated or moved to a more appropriate board, bureau, or program.
	(Midwives, Registered Dispensing Opticians, Spectacle Lens Dispensers, Research Psychoanalysts, approval of non-ABMS specialty boards, etc.)
3.1	Improve and expand professional educational outreach, including outreach to students and new graduate, about the laws and regulations that govern medical practice.
3.7	Expand the website to create a portal to provide intuitive and searchable web experience. Develop more online services and surveys to help improve Board's program (see Objective 3.2)
4.1	Improve relationships with elected officials and their staffs. Build and tighten collaborative relationships to work toward common goals – create partnerships on areas of common interests.
4.2	Work with California medical schools and training programs on common needs and goals. Create a better partnership with them on educational issues beyond licensing requirements, such as those relating to professionalism, ethics, unprofessional behavior, etc.
5.3	Conduct a review of all outside agencies' billing (Department of Consumer Affairs, Attorney General, Office of Administrative Hearings, etc.) to identify redundancies, save money, and promote better efficiency.
5.8	Establish a method of obtaining feedback about services provided from our users.

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	Priority C
Objective #	
1.6	Conduct a study of the performance of physicians in training and how it may predict later performance in practice. (See objective 2.5)
2.5	Study disciplinary cases to identify trends or issues that may signal dangerous practices or risks. (Done in conjunction with Objective 1.6)
2.10	Examine the decline of reports required by Business & Professions Code Section 805.
3.2	Improve public education by expanding current outreach efforts and initiating more outreach programs to educate the public on the board's programs, the rights of patients, and how to file complaints.
3.5	Establish a more assertive approach in communicating with the media to educate consumers and publicize disciplinary cases and criminal investigations, including those done in cooperation with other agencies.
3.6	Expand the newsletter to better educate physicians and medical students.
3.9	Establish a method of holding public briefings taught by legal or enforcement personnel on disciplinary cases, the laws violated, and other issues of importance to the profession and public.
3.10	Conduct Outreach to ethnic and other language publications and groups.
4.3	Work to establish better relationships with the accreditation agencies, associations representing hospitals and medical groups, professional associations and societies, the Federation, Federal government agencies, and other state agencies, including Department of Consumer Affairs and State and Consumer Services Agency.
4.4	Improve educational outreach to hospitals, health systems, and similar organizations about the Board and its programs. Educate the profession not only about the Medical board, but all of the health boards in DCA. Re-establish a speakers' bureau or some other outreach for this purpose.
5.6	Establish a means of better educating staff about the Board's activities and priorities set by the membership, including encouraging staff to attend meetings.
5.7	Establish a means of better educating the Board membership about operational activities by providing tours of headquarters, district or regional offices when they are at or near the location for Board meetings.

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Priority D			
Objective #			
3.8	Examine how the Board might provide training to the profession via the Internet, including hosting webinars on subjects of importance to public protection and public health.		
6.1	Educate the Board on the new healthcare reform law and how it may impact physicians' practice, workforce (Possible shortages), and utilization of allied healthcare professionals.		
6.2	Study and identify appropriate methods to deal with the evolving medical practice environment in how it effects patient care, such as examining methods to deal with workforce shortages, scope of practice of physician extenders, technological changes (including the use of diagnostic and communication technologies), licensing requirements, among other relevant issues to be identified.		
6.3	In general, identify issues and areas in which the Board may assist in promoting better public health.		

Priority E			
Objective #	· · ·		
3.4	Evaluate the appropriateness and effectiveness of the Physician Recognition Award, and the methods used to promote nominations. ( <u>Please note:</u> This is placed in both A & E categories to be determined by the Board members.)		

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## Medical Board of California Strategic Plan, 2012

(1<sup>st</sup> Draft for discussion by Executive Committee on October 27, 2011)

#### Mission:

"The mission of the Medical Board of California is to protect healthcare consumers through proper licensing and regulation of physicians and surgeons and certain allied healthcare professions and through the vigorous, objective enforcement of the Medical Practice Act, and to promote access to quality medical care through the Board's licensing and regulatory functions."

#### Goals:

- 1. **Professional Qualifications:** Promote the professional qualifications of medical practitioners by setting requirements for education, experience, and examination
- 2. **Regulations and Enforcement:** Protect the public by effectively enforcing laws and standards to deter violations.
- 3. **Consumer and Licensee Education:** Increase public & and licensee awareness of the board, its mission, activities and services.
- 4. **Organizational Relationships:** Improve effectiveness of relationships with related organizations to further the Board's mission and goals.
- 5. **Organizational Effectiveness:** Evaluate and enhance organizational effectiveness and systems to improve service.
- 6. Access to Care, Workforce, and Public Health: Understanding the implications of the changing healthcare environment and evaluate how it may impact access to care and issues surrounding healthcare delivery, as well as promoting public health, as appropriate to the Board's mission in exercising its licensing, disciplinary and regulatory functions.

### **Objectives:**

<u>Goal 1: Professional Qualifications</u>: Promote the professional qualifications of medical practitioners by setting requirements for education, experience, and examination.

**Objective 1.1: Examine and identify Methods to ensure physicians remain current.** 

Activity			Date	Staff	Priority
		Educate the Board members & staff: Establish a workgroup, consisting of licensing and enforcement staff, to	Spring 2013	Licensing	+ B
 	i	identify problems caused by physicians not remaining current.	2013	Licensing	
	•	Gather information about other states and other professions in their approach to this issue.	Summer 2013	Licensing	В
	•	Analyze the information: Identify the most feasible methods for the Board to promote licensees remaining current, and identify the board's authority in taking action.	Spring 2014	Licensing	В
· · ·	•	Draft a Whitepaper to the Board.	Summer 2014	Licensing	В
	•	Take action: Depending upon the Board's authority, establish policies or programs, promulgate regulations, develop and seek legislation, or a combination.	Fall 2014	Licensing	В

+ Key to priority categories:

- A. Mission critical
- B. Secondary to mission critical activities
- C. Tertiary to mission critical activities
- D. Non-mission critical, but important to consumer protection.
- E. Non-mission critical, but deemed by members as Important to public protection or physician practice

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Objective 1.2: Examine current CME structure, its effectiveness, the current California requirements, and how it should be examined.

Activity		Date	Staff	Priority
•	Provide annual CME audit statistics to	Winter		
	the Medical Board members.	2012	Licensing	А
•	Examine and verify the current CME	Winter		
	Audit effectiveness.	2013	Licensing	A
•	Educate the Board and staff on current			
	CME structure. Invite organizations			
• •	that accredit CME (such as the ACCME,	• •		
	IMQ, or ABMS Boards and their	Spring	Licensing	A
	member societies) to educate the	2012		
	members on how requirements have			
	changed, what is required for course			
	accreditation, what is done to ensure	•		
н н	compliance. Reissue the white paper			
	written in 2009, and revise if outdated.	. ·		
•	Examine current CME environment and	· .		
	delivery and California's requirements	Summer	Licensing	A
	to determine if they are relevant to	2012		
	keeping physicians current, including			
	elements of the training that promote	· · ·		
	education in cultural issues that affect			
•	medical practice.			•
•	Examine how CME requirements may	Winter	Licensing	
,	relate to FSMB MOL initiative. (See	2012-13		В
	objective 1.4)		· · · ·	
•	Under the Board's current regulatory			
	authority, determine if the CME	Winter	Licensing	A
	regulations are sufficient, or need to be	2013		
	amended.	· · ·	н. 	
•	Develop and promulgate regulations, or	Fall		
	develop and seek legislation, as	2014	Licensing	A
en e	appropriate.			

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Objective 1.3: Define what is necessary to promote safe re-entry into medical practice after extended absences.

Activity		Date	Staff	Priority
•	Conduct a review of current data, including working with FSMB, to determine if physicians re-entering practice after long absences need additional requirements prior to readmittance.	Spring 2012	Licensing	В
•	Determine if there is something more the Board should do, if anything, and how it should be involved in determining the safety of those reentering practice for the purpose of public protection.	Winter 2013	Licensing	В
•	As appropriate, develop and promulgate regulations or develop and seek legislation.	Spring 2013	Licensing	В

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Objective 1.4: Establish a committee to examine the FSMB Maintenance of Licensure initiative to study what should be adopted in California, and how it can collaborate with the FSMB and ABMS certifying boards.

Activity		Date*	Staff	Priority
•	<ul> <li>Appoint a Task Force to evaluate the FSMB Maintenance of Licensure Initiative and determine what is feasible in California.</li> </ul>	Fall 2012	Licensing	В
•	<ul> <li>Invite a participant in the FSMB Working Group to make a presentation to the Full Board/Committee.</li> </ul>	Winter 2013	Licensing	В
	<ul> <li>Conduct a study of other States' actions relating to the FSMB MOL Initiative, and examine the experience of states participating in the FSMB pilot program.</li> </ul>	Winter 2013- Winter 2014	Licensing	В
•	<ul> <li>Identify what should be adopted in California, and examine what is needed for implementation.</li> </ul>	Fall 2014	Licensing	В
	<ul> <li>Depending on what is determined to be needed, develop and promulgate regulations or develop and seek legislation.</li> </ul>	Winter 2015	Licensing	В
upon whe	es of these objectives may need to be recon en the FSMB has concluded and has compile r MoL pilot programs.	-	-	•

**Objective 1.5 Conduct a comprehensive review of international schools.** 

Winter 2012 Spring 2012	Licensing Licensing	A
• -	Licensing	
		A
Spring 2012	Licensing	A
Spring 2013- Winter 2014	Licensing	A
Summer 2012 - Summer 2014, ongoing	Licensing	A
Summer 2013	Licensing	A
Winter 2012- Summer 2012	Licensing	A
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Objective 1.6: Conduct a study of the performance of physicians in training and how it may predict later performance in practice. (See objective 2.5)

Activity		Date	Staff	Priority
	<ul> <li>Re-review the report of Dr. Maxine Papadakis on performance and behavior in medical school as a predictor of future practice problems.</li> </ul>	Winter 2014	TBD	С
	<ul> <li>Review disciplinary files in conjunction with licensing applications to determine if a link can be established between performance problems in medical school and future practice problems.</li> </ul>	Winter 2014 - Spring 2015	TBD	C
	<ul> <li>Evaluate whether such a study should be expanded, or whether there is an opportunity with a medical school to do a retrospective study on the correlation between medical practice and behavior in medical school.</li> </ul>	Spring 2015	TBD	С
	<ul> <li>If there is significant data obtained, determine how the information may be communicated to those who may find it useful (Goal 3).</li> </ul>	Fall 2015	TBD	.C

# <u>Goal 2: Regulations and enforcement</u>: Protect the public by effectively enforcing laws and standards to deter violations.

Objective 2.1: Develop a plan to conduct a complete review of all laws and regulations relating to licensing to identify those no longer relevant, and what needs to be added or eliminated. Identify requirements that are not necessary to the safety of practice but may be serving as barriers for qualified applicants, as well as simply updating requirements to be congruent with current educational environments. (To be done in conjunction with Objective 2.2)

Activity		Date	Staff	Priority
	<ul> <li>Staff to develop an outline of priorities and a calendar/timeline for the evaluation of statutes and regulations, taking into account the timing for Sunset Review. (Including the development of an interested parties contact list for inclusion in discussions.)</li> </ul>	Spring 2012 – Fall 2012	Legal/ Licensing	Α
	<ul> <li>Schedule interested parties meetings by legal topic and statute/regulation under analysis.</li> </ul>	Summer 2012 - Winter 2015	Legal/ Licensing	Α
	<ul> <li>Work is delegated to the Licensing Committee; updates provided to full Board as actions are needed. As each section of the evaluation concludes, as appropriate to the legislative and regulatory calendar, proposals will be taken to the Full board for regulations to be promulgated and legislation sought.</li> </ul>	Summer 2012 - Winter 2015	Legal/ Licensing	A
· · · ·	<ul> <li>As appropriate, development and promulgation of regulation, and develop and seek legislation.</li> </ul>	Various 2013-15	Legal/ Licensing/ Legislative	В

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Objective 2.2: Develop a plan to conduct a complete review of all laws and regulations relating to enforcement to identify those that are no longer useful, and augment those that are needed for public protection. Identify the Board's regulatory authority for promulgating new regulations and also identify those issues that require legislation. (To be done in conjunction with Objective 2.1)

Activity		Date	Staff	Priority
•	Staff to develop an outline of priorities and a calendar/timeline			
•	for the evaluation of statutes and regulations, taking into account the timing for Sunset Review. (Including the development of an interested parties contact list for inclusion in discussions.) Schedule interested parties meetings by legal topic and	Spring 2012 – Fall 2012 Summer 2012 -	Legal/ Enforcement Legal/	A
	statute/regulation under analysis.	Winter 2015	Enforcement	А
	Work is delegated to the Enforcement Committee; updates provided to full Board as actions are needed. As each section of the evaluation concludes, as appropriate to the legislative and regulatory calendar, proposals will be taken to the Full board for regulations to be promulgated and legislation sought.	Summer 2012 - Winter 2015	Legal/ Enforcement	A
•	As appropriate, development and promulgation of regulation, and develop and seek legislation.	Various 2012- 15	Legal/ Enforcement Legislative	В

Objective 2.3: Conduct a review of the Vertical Enforcement model to assure uniform implementation in all offices and identify and redundancies that are increasing cost without producing benefits

Activity		Date	Staff	Priority
•	Conduct a review the VE performance data.	Summer 2011	TBD	A
•	Conduct a statistical analysis of performance in the various geographic areas on number of cases, number of personnel hours, and results, including the time taken in various steps of the process. Identify similar and inconsistent data in various regions.	Summer 2011 - Fall 2011	TBD	A
•	Conduct a statistical analysis of the enforcement timelines to indentify which steps may be delaying the process.	Summer 2011	TBD	A
•	Compare the California process to other states' and other California licensing boards, including those handled by the AG's licensing section rather than HQES. (How default decisions are handled, as an example)	Summer - Fall 2011	TBD	A
•	Draft a report to the Board on the analysis of statistical data, including recommendations for actions by the Board to reduce the timeline, increase efficiency, and obtain better outcomes for public protection.	Winter 2012	TBDt	A
•	Depending upon findings of evaluation, and within budgetary restraints, amend policies and procedures, promulgate regulations, or seek legislation.	Winter 2012	TBD	A

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Objective 2.4 Examine complaint handling priorities. Educate members on how complaints are prioritized, as well as the legislatively mandated priorities. Determine if there is a need to change the priorities.

Activity		Date	Staff	Priority
•	Educate the members on the current policy and legislative priorities in complaint handling.	Winter 2012	Enforcement	А
· •	Review and evaluate the complaints and their relation to physician disciplinary action.	Winter - Summer 2012	Enforcement	A
	Present a report on review of complaint handling, including whether current priorities are congruent with public safety, or that other priorities might better serve public protection.	Summer 2012	Enforcement	A
•	Depending upon the Board's authority and resources, amend policies, develop and promulgate regulations, or develop and seek legislation.	Summer 2012- Spring 2013	Enforcement Legal/ Legislative	A

Objective 2.5: Study disciplinary cases to identify trends or issues that may signal dangerous practices or risks. (Done in conjunction with Objective 1.6)

Activity		Date	Staff	Priority
•	Staff to perform a study to identify risk factors for patient harm and physician discipline. Study should look at disciplinary cases causing serious harm and determine if there are trends and "red flags" that could be used for the purpose of identifying troubling patterns before serious harm occurs.	Winter 2014 - Spring 2015	Enforcement	С
	Report of study to be presented to the Board, including recommendations for establishing priorities, wellness initiatives, remedial actions, greater record review authority, further study, or other initiatives, if identified.	Spring 2015	Enforcement	С
•	Dependent upon findings and opinion of the board, and in keeping with the Board's authority and resources, establish or amend policies and procedures, develop and promulgate regulations, or develop and seek legislation.	Fall 2015	Enforcement Legal/ Chief of Legislation	С

Objective 2.6 Examine the Expert Reviewer Program and policies to determine how it may be improved, including recruitment, evaluation of experts, opportunities for education, and policies governing the Board's use of experts.

Activity		Date*	Staff	Priority
•	Evaluate, revise, and update the training	Fall	Enforcement	A
	program and materials for experts.	2011		
•	Educate the Board as to the current	Winter		
	policies, laws, and regulations governing	2012	Enforcement	А
	the Expert Reviewer program.			
•	Study the training and evaluation			
	measures, as well as policies to identify	Spring	Enforcement	А
	problem areas and those that should be	2012		
	amended.			
., •	Study the use of experts by other states'	Spring –		
	and by other California boards and	Fall	Enforcement	A
	commissions.	2012		
•	Examine which policies and regulations	·		
	(under the Board's authority) should be	Fall	Enforcement	A
	amended to further the program's	2012		
	efficiency.			
•	Based on the study and review of the		Enforcement	
	current program, as appropriate to the	Fall	Legal/	A
	Board's authority and resources, revise	2012	AGHQES/	
	policies and procedures, promulgate		Chief of	
	regulations, or seek legislation.		Legislation	

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**Objective 2.7: Identify opportunities to better educate judges/hearing officers.** 

Activity		Date	Staff	Priority
٠	Evaluate the current training and	*		
	information furnished to the OAH	Winter	Enforcement	В
	judges/hearing officers.	2012	HQES	
			· · · ·	
٠	Conduct OAH training semiannually.	Spring		
		2012-	Enforcement	В
1		Ongoing	HQES	
•	Examine disciplinary decisions to	Winter		
	determine if they meet the mission to	2012-	Enforcement	В
	protect the public.	Spring	HQES	
		2012		
٠	Identify if there may be inconsistent	Spring		
	outcome in disciplinary cases. Present	2012	Enforcement	В
	findings to the Board.		HQES	
•	Examine the decision to determine if the	Spring	Enforcement	
·	disciplinary guidelines are being utilized	2012 -	HQES/	В
	consistently, or, if the guidelines need	Fall	Legal/	
	amending to create greater consistency in	2012	Chief of	
	sentencing.		Legislation	
*The dat	es of implementation and completion of the	ese tasks m	ay need to b	е
reconside	ered, as they are dependent upon the hiring	of the Sup	ervisor I in tl	ne
Standard	ls & Training Unit in the Enforcement Progra	ım.		

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Objective 2.8 Work to clarify the Board's responsibility to regulate outpatient surgery centers, and obtain the resources to be effective.

Activity		Date *	Staff	Priority
•	Evaluate the impact of SB 100, and begin	Fall	Licensing/	
	develop goals and timelines for implementation.	2011	Enforcement	Α.
•	Examine the current Board responsibility	Fall		
	and authority to regulate outpatient	2011-	Licensing/	A
	surgery facilities. Identify what can be	Fall	Enforcement	
	done under current authority. Begin	2012		
	implementation of SB 100.			
. •	Identify the resources needed to	Spring	Licensing/	A
	implement an effective program	2012	Enforcement	
•	Work with the Legislature to enact			
	legislation or gain resources, or obtain	Fall	Licensing/	A
	what is necessary for California to have an	2012	Enforcement	
	effective program to ensure a minimum		Legal/	
	standard of safety in outpatient settings.		Legislative	
	Develop and seek legislation.			
*Dates f	or these tasks are pending the hiring of staff	for the ou	itpatient surg	ery
regulatic	on program.			

Objective 2.9: In light of reduced membership and funding, examine Board responsibilities that could be eliminated or moved to a more appropriate board, bureau, or program. (Midwives, Registered Dispensing Opticians, Spectacle Lens Dispensers, Research Psychoanalysts, approval of non-ABMS specialty boards, etc.)

Activity		Date	Staff	Priority
•	Educate the membership about the Board's responsibility over allied health professions. Staff should prepare a paper and make a presentation at a Board meeting.	Summer 2012	TBD	В
•	Identify those areas where the Board may not have sufficient expertise or resources to adequately provide consumer protection.	Fall 2012 through Spring 2013	TBD	В
•	Depending upon areas identified by the membership, seek legislation to move or eliminate responsibilities of the Board that appear to be inappropriate. (To be completed to coincide with Sunset Review)	Summer 2013	TBD	В

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# **Objective 2.10** Examine the decline of reports required by Business & Professions Code Section 805.

Activity		Date	Staff	Priority
•	Review the history of 805 reports; history of the law, statistical data, and how they relate to the Board's disciplinary actions.	Spring 2012	Enforcement	С
•	Obtain information from those required to file 805 reports and the reasons they have declined over the years. (Individual hospitals, medical groups, societies and associations.)	Spring – Fall 2012	Enforcement	С
•	Evaluate Peer review: what it does, how it impacts what the Board does, what institutions file 805 reports.	Fall 2012	Enforcement	С
•	Study practice in non-peer review environments to determine if quality of care can be monitored.	Fall 2012	Enforcement	С
•	Invite the CDPH to share information on how they determine violations and how they may relate to compliance with B&P 805.	Summer 2013	Enforcement	С
•	Review how other states handle peer review and mandatory reporting, and how or if they correlate with disciplinary action.	Summer 2013	Enforcement	C
•	Determine if, as it has been stated, the system is broken. Is there anything that could and should be done by the Board to improve patient protection by legislation, regulation, greater enforcement, greater investigatory authority, etc. Seek legislation, if needed.	Winter 2013	Enforcement Legal/ Chief of Legislation	С

<u>Goal 3: Consumer and Licensee Education</u>: Increase Public and Licensee awareness of the Board, its mission, activities and services.

Objective 3.1 Improve and expand professional educational outreach, including outreach to students and new graduate, about the laws and regulations that govern medical practice.

Activity	Date*	Staff	Priority
Re-examine the Website to determine what	Winter	PIO	В
can be improved.	2012	· · · · · · · · · · · · · · · · · · ·	
<ul> <li>Utilize the website and newsletters to inform</li> </ul>	X,	· · · ·	
licensees of issues relating to legal	Fall	Public	В
responsibilities, changes in law and	2012	Infor-	
regulation, practice patterns and tools		mation	
(telemedicine, translation methods and		Officer	
opportunities, etc.), issues of public health		(PIO)	
and cultural and linguistic literacy.			
• Work with state, county and federal agencies	Fall	PIO	В
to inform licensees.	2012		
• Educate physicians about complying with the			
law. Initiate programs to promote the Board's	Fall	PIO	B
information and programs to its licensees. If	2012		
resources permit, send every physician a new			
handbook with license renewals.			-
Re-establish a speakers' bureau, and			
reinstitute the "teams of 2" consisting of one	Winter		
staff person and one board member to talk to	2012	PIO	B
professional meetings. (This can only begin			
after hire, resources, and travel restrictions			
are lifted.)			
Conduct outreach to various organizations	Spring –		
such as hospitals and practice groups through	Fall	PIO	В
providing speakers or articles for their	2012		
publications.			
<sup>•</sup> The start and completion dates of this objective ar	e depender	nt upon the l	niring
and training of a new Information Officer.	•	•	

Objective 3.2 Improve public education by expanding current outreach efforts and initiating more outreach programs to educate the public on the board's programs, the rights of patients, and how to file complaints.

Activity	Date*	Staff	Priority
Re-examine the Website to determine what	Winter	PIO	C
can be improved.	2012		
<ul> <li>Identify consumer education groups and</li> </ul>	Various	PIO	C
publications to distribute MBC material.	2012-13		
<ul> <li>Schedule meetings with all major papers and</li> </ul>	Various		
media editorial boards at least once a year;	2012-13	PIO	C
more, when necessary.		<u>.</u>	
Update the current brochures to reflect the	Various		
current insurance and practice environment.	2012-13	PIO	C
<ul> <li>Work with other state agencies to provide</li> </ul>	Various		
Board materials to consumers	2012-13	PIO	C
Work with DCA and State and Consumer	Various		
Services Agency to develop an integrated	2012-15	PIO	C
communications plan that would promote the			
Board and its services.			
• Explore the use of social media in outreach to	Summer	PIO	С
the public.	2012		
* The start and completion dates of this objective ar	e dependen	t upon the	hiring
and training of a new Information Officer.		· .	

Objective 3.3 Identify more effective methods to promote the Expert Review Program to recruit qualified physicians.

Activity	Date	Staff	Priority
<ul> <li>Establish a committee to work with staff and professional associations to promote the Expert Reviewer program through various methods, including articles in Board newsletters and other professional publications, speakers at facilities and professional societies, etc. (See Objective 3.1)</li> </ul>	Fall 2011 Ongoing	Enforcement PIO	A

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Objective 3.4 Evaluate the appropriateness and effectiveness of the Physician Recognition Award, and the methods used to promote nominations.

Activity	Date	Staff	Priority
<ul> <li>The Committee should review its program to determine if it is appropriate in its current form.</li> </ul>	Fall 2011	TBD	A/E
<ul> <li>Conduct a review outreach methods to determine if more nominations could be recruited, including from and for student initiatives.</li> </ul>	Spring 2012	TBD	A/E

Objective 3.5 Establish a more assertive approach in communicating with the media to educate consumers and publicize disciplinary cases and criminal investigations, including those done in cooperation with other agencies.

Activity	Date*	Staff	Priority
<ul> <li>Create a media contact database so that all disciplinary cases are provided to the appropriate media outlet.</li> </ul>	Winter 2012	PIO	С
<ul> <li>Work with DCA and the DA's office to establish a joint press release procedure, if necessary, to use on joint investigations or actions.</li> </ul>	Winter 2012	PIO	С
<ul> <li>Meet with all major newspapers' editorial boards at least once a year.</li> </ul>	Various 2012-13	PIO	С
• When the budget allows, provide press kits about the Board to all media outlets.	Winter 2013	PIO	C
<ul> <li>Participate in professional/public outreach programs (see Objective 3.2)</li> </ul>	Various 2012-15	PIO	С
* The start and completion dates of this objective a and training of a new Information Officer.	re dependen	t upon the	hiring

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# **Objective 3.6** Expand the newsletter to better educate physicians and medical students.

Activity	Date*	Staff	Priority
<ul> <li>Evaluate how the current newsletter is being utilized by licensees, what is useful and what is not.</li> </ul>	Spring 2012	PIO	С
<ul> <li>Allow applicants to receive the newsletter by e-mail or social media, as well as licensees.</li> </ul>	Various 2011-13	PIO	С
<ul> <li>Establish some kind of feedback for the newsletters' content to determine who is reading it, and for what information.</li> </ul>	Various 2012-13	PIO	с
• Examine ways of promoting the newsletter to encourage more readership.	Winter 2012	PIO	С
• Reach out to other agencies and foundations to contribute to the newsletter.	Various 2012-13	PIO	С
<ul> <li>Incorporate into the newsletter more information about Board activities, including encouraging attendance of Board meetings, topics discussed at meetings, and so forth.</li> </ul>	Various 2011-15	PIO	С

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Objective 3.7 Expand the website to create a portal to provide intuitive and searchable web experience. Develop more online services and surveys to help improve Board's program (see Objective 3.2)

Activity	Date*	Staff	Priority
ISB to work with the Web Working Group to			
determine how and what can be done to	Various	PIO	B
improve the Website and its searchability.	2012-13		
ISB to work with new Information Officer to		•	
determine if the current site is user friendly	Various	PIO	В
and how it might be improved.	2012-13		
Establish a program to periodically update	Various		
FAQs on the various subjects.	2012-13	PIO	В
• Identify what areas of the website are utilized,			
and if users are finding what they are looking	Winter	PIO	B
for. Provide a survey to users to provide	2013	·	
feedback.			
* The start and completion dates of this objective ar	e depender	nt upon the	hiring
and training of a new Information Officer.			

Objective 3.8 Examine how the Board might provide training to the profession via the Internet, including hosting webinars on subjects of importance to public protection and public health.

Activity	Date*	Staff	Priority
• Determine the feasibility of providing courses and the priority of this venture.	Winter 2013	PIO	D
<ul> <li>Work with DCA's current Information Officer and Public Affairs, to expand interactive webcasting. (As part of the Board's pro-rata funding)</li> </ul>	Winter 2013	PIO	D
* The start and completion dates of this objective ar and training of a new Information Officer.	e dependen	it upon the	hiring

Objective 3.9 Establish a method of holding public briefings taught by legal or enforcement personnel on disciplinary cases, the laws violated, and other issues of importance to the profession and public.

Activity	Date*	Staff	Priority
<ul> <li>Establish a method or procedure to identify issues that could benefit from holding a public briefing.</li> </ul>	2014	PIO	C
<ul> <li>Identify AGs, legal counsels, investigators, that should be called upon to develop and deliver briefings.</li> </ul>	2014	PIO	С
<ul> <li>Work with DCA's current Information Officer and Public Affairs, to expand interactive webcasting. (As part of the Board's pro-rata funding)</li> </ul>	2014	PIO	С
• Publicize the briefings to foster viewership.	2014	PIO	C

Objective 3.10 Conduct Outreach to ethnic and other language publications and groups.

Activity	Date*	Staff	Priority
<ul> <li>Identify the ethnic and cultural groups to be targeted.</li> </ul>	Summer 2012	PIO	С
<ul> <li>Identify the media outlets and publications for various ethnic groups and other-than-English publications, radio, television stations, and web groups.</li> </ul>	Summer 2012	PIO	С
<ul> <li>Identify those in staff or on the Board who may be able to communicate with the targeted groups, either through language fluency, or cultural sensitivity.</li> </ul>	Summer 2012	PIO	С
• Establish a plan to coincide with the outreach to English language and general audience.	Summer 2012	PIO	C

<u>Goal 4: Organizational Relationships</u>: Improve effectiveness of relationships with related organizations to further the Board's mission and goals.

Objective 4.1: Improve relationships with elected officials and their staffs. Build and tighten collaborative relationships to work toward common goals – create partnerships on areas of common interests.

Activity	Date	Staff	Priority
Chief of Legislation to develop a plan to visit	Fall	Chief of	
some legislators and their staffs with board	2011	Legislation	В
members, at the Capitol and field offices.			
<ul> <li>Provide training and briefing to the Board</li> </ul>	Winter	Chief of	
members about the Board's legislative	2012	Legislation	B
initiatives, and who is contacted and why.			
Provide legislative training to all board	Various	Chief of	В
members.	2012-13	Legislation	
<ul> <li>Invite legislative field staff to board meetings,</li> </ul>	Winter	Chief of	
and visit field offices.	2012	Legislation	B

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Objective 4.2 Work with California medical schools and training programs on common needs and goals. Create a better partnership with them on educational issues beyond licensing requirements, such as those relating to professionalism, ethics, unprofessional behavior, etc.

Activity	Date	Staff	Priority
<ul> <li>Appoint a medical consultant(s) to work with staff and schools on developing a program to address ethical questions and emerging issues developing in the new practice environment. (Prescribing practices, enticements from pharmaceutical and medical device industries, boundary issues, social media, working for and in various industries, etc.)</li> </ul>	Winter 2013	Licensing/ PIO	В
<ul> <li>Invite comment from all schools on issues they are identifying as troubling trends, or those that will change the practice environment and make old rule obsolete. Survey Directors of postgraduate training programs.</li> </ul>	2013	Licensing/ PIO	В
<ul> <li>Develop white papers in coordination with the medical schools, to be shared with medical students and licensees.</li> </ul>	Winter 2014 Ongoing	Licensing/ PIO	В

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Objective 4.3 Work to establish better relationships with the accreditation agencies, associations representing hospitals and medical groups, professional associations and societies, the Federation, Federal government agencies, and other state agencies, including Department of Consumer Affairs and State and Consumer Services Agency.

Activity	Date*	Staff	Priority
<ul> <li>Identify areas of concern that may be of</li> </ul>		PIO/	
common interest with various organizations.	Fall	Chief of	С
	2012	Legislation	
<ul> <li>Schedule meetings with organizations to</li> </ul>			
establish better relationships as needed, and	Fall	PIO/	С
driven by emerging issues of common	2012	Chief of	
interest.		Legislation	
Develop a communication plan for California			
agencies.	2013	PIO	.C
• Develop a communication plan for categories			
of agenda items for various groups.	2013	PIO	C
Utilize the "Teams of two" or others in	After		
speaking to various professional groups.	lifting of		
	travel	PIO	С
	restrict-		
	tions.		
* The start and completion dates of this objective ar	e depender	nt upon the h	niring
and training of a new Information Officer.			

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Objective 4.4 Improve educational outreach to hospitals, health systems, and similar organizations about the Board and its programs. Educate the profession not only about the Medical board, but all of the health boards in DCA. Re-establish a speakers' bureau or some other outreach for this purpose.

Activity	Date*	Staff	Priority
<ul> <li>Identify and create a database of those organizations and groups that the Board wants to target.</li> </ul>	2012	PIO	С
<ul> <li>Contact all appropriate practice groups, and associations and offer to provide speakers and contribute articles about the board for their newsletters or email broadcasts.</li> </ul>	2012	PIO	С
<ul> <li>Utilize the speakers' bureau, or "Teams of two" to speak at lunch meetings, dinners, etc., and to annual meetings or conferences.</li> </ul>	2013	ΡΙΟ	С
<ul> <li>Work with various foundations and groups, such as the Hospital association, to provide information to their membership.</li> </ul>	After lifting of travel restrict- tions.	PIO	С

and training of a new Information Officer.

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<u>Goal 5: Organizational Effectiveness</u>: Evaluate and enhance organizational effectiveness and systems to improve service.

### **Objective 5.1** Licensing applications to be reviewed within 45 days.

Activity	Date	Staff	Priority
Goal communicated to staff	Fall	Licensing	A
	2011	Chief	
Monitor reports to be automatically sent to		Licensing	
managers, and report when review has not	2011	Chief &	A
been conducted in a specified amount of	Ongoing	Managers	
days.			
• Report to the Board at every quarter on the			
time taken to review licensing applications.	2011	Licensing	A
	Ongoing	Chief ·	

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Objective 5.2 Reduce disciplinary timelines, complaint processing and investigations by 10-20%; Complaint processing averaging under 70 days with 50-60% under 50 days.

Activity	Date*	Staff	Priority
<ul> <li>Analyze current process, including breaking down types of cases by time taken for each element of the process.</li> </ul>	Winter 2012	Enforcement	A
<ul> <li>Identify reasons for delays in the Board's system of complaint handling through disciplinary action.</li> </ul>	Various 2012-13	Enforcement	A
<ul> <li>Research, indentify, and compare other states' processing of complaints and disciplinary actions. Identify areas in California's system that may be unnecessary and slowing the process.</li> </ul>	Various 2012-13	Enforcement	A
<ul> <li>Research, indentify, and compare other California boards' processing of complaints and disciplinary actions. Identify areas in the Board's system that may be unnecessary and slowing the process. (Including the use of the AG's Licensing Section in comparison to HQES)</li> </ul>	Various 2012-13	Enforcement	A
<ul> <li>Survey regional deputies and supervising investigators on management tools needed to greater monitor investigation handling. (CAS v. new computer system's ability for reports, etc.)</li> </ul>	Various 2012	Enforcement	A
<ul> <li>In conjunction with Objective 2.3, identify how VE model may be amended if it is slowing the process.</li> </ul>	Various 2012	Enforcement	А
<ul> <li>After analyzing all of the data collected, as appropriate, establish or amend policies and procedures, develop and promulgate regulations, or develop and seek legislation.</li> </ul>	Winter 2013	Enforcement	A

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Objective 5.3 Conduct a review of all outside agencies' billing (Department of Consumer Affairs, Attorney General, Office of Administrative Hearings, etc.) to identify redundancies, save money, and promote better efficiency.

Activity	Date	Staff	Priority
<ul> <li>Staff to prepare a report on all spending for past 4 years to all regularly used agencies (DCA pro-rata, Attorney General's HQES, and Office of Administrative Hearings)</li> </ul>	Summer -Fall 2011	TBD	В
<ul> <li>Conduct an analysis of spending through the years, broken down by function and region, to identify trends, and possible inconsistencies, if any.</li> </ul>	Winter 2012	TBD	В
<ul> <li>Identify areas that require discussion and examination by reviewing what areas have improved efficiency and those that have declined. (Incorporate data and analysis on Vertical Enforcement; See Objective 2.3)</li> </ul>	Winter - Spring 2012	TBD	В
<ul> <li>Establish a procedure to regularly evaluate the value of spending to outside areas.</li> </ul>	Summer 2012	TBD	В
<ul> <li>Establish a reporting method that will keep the Board updated, and also will be helpful in providing information at Sunset evaluation.</li> </ul>	Fall 2012	TBD	В

Objective 5.4 Conduct an annual review of all of the Committees established by the Board to determine if they are still needed, if they are fulfilling the purpose of which they were established, and determine if they should continue, be eliminated, or be merged with other committees.

Activity	Date	Staff	Priority
• At the fall meeting of the Board, prior to new	Every	Deputy	
committee appointments by the president,	Fall	Executive	A
the Board should conduct a review of all	Board	Director	
committees/subcommittees/task forces.	Meeting		

Objective 5.5 Evaluate and enhance organizational effectiveness through the establishment of criteria for a periodic self-evaluation procedure.

Activity	Date	Staff	Priority
<ul> <li>At the Fall Executive Committee or full Board meeting, staff will provide a report on the progress of the Strategic Plan, highlighting successes, failures, and those areas that should be eliminated, expanded, or amended.</li> </ul>	Begin 2012	Deputy Executive Director	A

Objective 5. 6 Establish a means of better educating staff about the Board's activities and priorities set by the membership, including encouraging staff to attend meetings.

Activity	Date	Staff	Priority
<ul> <li>Executive Director to provide e-mail updates approximately every two months to inform staff of board activities and positions.</li> </ul>	2011 ongoing	Executive Director	С
<ul> <li>At the time of the Agenda mailing, Division Chiefs will contact appropriate staff about the meeting, what will be on the agenda, and encourage attendance, where appropriate.</li> </ul>	Begin 2012	Division Chiefs	C

Objective 5. 7 Establish a means of better educating the Board membership about operational activities by providing tours of headquarters, district or regional offices when they are at or near the location for Board meetings.

Activity	Date	Staff	Priority
<ul> <li>A report of these visits will be part of the Executive Directors/Enforcement Chiefs' report at the quarterly Board meetings.</li> </ul>	2011 ongoing	Executive Director	С
<ul> <li>At the time of agenda mailing, Division Chiefs will arrange for a tour of facilities where appropriate. (Chiefs to arrange for a tour of district offices in areas outside of Sacramento, and a tour of headquarters when in Sacramento)</li> </ul>	Begin 2012	Division Chiefs	С

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**Objective 5.8 Establish a method of obtaining feedback about services provided from our users.** 

Activity	Date*	Staff	Priority
Continue Complaint survey/ evaluate how it	Winter		
might be improved	2012	TBD	В
	Ongoing		
Establish a survey for newly licensed	Spring		
physicians about the application/licensing	2012	TBD	В
process	Ongoing		
• Establish a survey on the website on other	Summer		
areas, including the usefulness of the website	2012	TBD	B
	Ongoing		
• Provide an annual report to the Board on the	Fall		
results at the time of the Annual report.	2012	TBD	B
	Ongoing		

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<u>Goal 6: Access to Care, Workforce, and Public Health</u>: Understanding the implications of Health Care Reform and evaluating how it may impact access to care and issues surrounding healthcare delivery, as well as promoting public health, as appropriate to the Board's mission in exercising its licensing, disciplinary and regulatory functions.

Objective 6.1 Educate the Board on the new healthcare reform law and how it may impact physicians' practice, workforce (Possible shortages), and utilization of allied healthcare professionals.

Activity	Date	Staff	Priority
<ul> <li>Invite a speaker from AHRQ to speak about the new healthcare law, as well as speakers from CDPH, MediCal and Medicare offices on how it will impact care in California.</li> </ul>	2011 Ongoing	TBD	D
<ul> <li>Ask appropriate associations to share their view of the changing practice environment due to healthcare reform (Hospital association, etc.)</li> </ul>	Summer 2012	TBD	D
<ul> <li>Direct the Access to Care Committee to study the impact of healthcare reform and identify areas in which the Board can help, such as addressing shortages through telemedicine, if appropriate, or publicizing programs to help those in underserved populations, etc.</li> </ul>	Fall 2012	TBD	D
<ul> <li>Take appropriate action based on the remedies identified by the committee.</li> </ul>	Winter 2013	TBD	D

Objective 6.2 Study and identify appropriate methods to deal with the evolving medical practice environment in how it effects patient care, such as examining methods to deal with workforce shortages, scope of practice of physician extenders, technological changes (including the use of diagnostic and communication technologies), licensing requirements, among other relevant issues to be identified.

tivity		Date*	Staff	Priority
	nd the Access to Care Committee to			
	ine the issues relating to the changing			
	cal practice environment. Issues to be			
	ed should probably include:	2011	<u> </u>	<u>.</u>
0	The use of physician extenders, and	Ongoing	TBD	D
	expanding scope of practice			
0	The use of hospitalists		· .	
0	Difficulty of Medicare and MediCal			
· ·	patients finding willing providers		•	
0	Various payment schemes that may			
	pose ethical dilemmas for physicians			~
	(referral issues, ownership issues, etc.)			
· . O	Appropriate/Inappropriate			
	relationships with pharmaceutical and		-	
	medical device representatives			
0	Issues relating to advertising and			
	promotion			
Ö	Finding specialists where none exist			
	locally and the use of telemedicine			
0	Billing issues, such as "balanced billing"			
0	Ethically dealing with differing religious			
	issues of patients, doctors, and			:
•	hospitals			
0	Appropriately advocating for patients			
0	Any other issues identified as important			
	in the changing environment			
i				

Objective 6.2, continued			
<ul> <li>After gathering information and inviting speakers on the above issues, identify those in which the Board has authority and can help practitioners comply with the law and protect patients.</li> </ul>	Summer 2012	TBD	D
<ul> <li>Post presentations broadcast on the web to</li> </ul>	Winter		
educate the public and profession.	2012	TBD	D

Objective 6.3 In general, identify issues and areas in which the Board may assist in promoting better public health.

Activity	Date*	Staff	Priority
<ul> <li>Expand the Access to Care Committee to include issues relating to promoting public health. The Committee will be available to study and identify issues identified by the Board, congruent to its mission and promoting appropriate medical care from its licensees.</li> </ul>	2012 Ongoing	TBD	D

Key to priority:

- A. Mission critical
- B. Secondary to mission critical activities
- **C.** Tertiary to mission critical activities
- D. Non-mission critical, but important to consumer protection.
- E. Non-mission critical, but deemed by members as important to consumer protection or physician practice

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