## TITLE 16. Medical Board of California

NOTICE IS HEREBY GIVEN that the Medical Board of California (hereinafter referred to as the "Board") is proposing to take the action described in the Informative Digest. Any person interested may present statements or arguments orally or in writing relevant to the action proposed at a hearing to be held at Sheraton Gateway Hotel LAX, 6101 W Century Boulevard, Los Angeles, CA 90045 at 9:10 A.M. on May 6, 2011. Written comments, including those sent by mail, facsimile, or e-mail to the addresses listed under <u>Contact Person</u> in this Notice, must be received by the Board at its office not later than 5:00 p.m. on April 25, 2011 or must be received at the hearing. The Board, upon its own motion or at the instance of any interested party, may thereafter adopt the proposals substantially as described below or may modify such proposals if such modifications are sufficiently related to the original text. With the exception of technical or grammatical changes, the full text of any modified proposal will be available for 15 days prior to its adoption from the person designated in this Notice as contact person and will be mailed to those persons who submit written or oral testimony related to this proposal or who have requested notification of any changes to the proposal.

<u>Authority and Reference:</u> Pursuant to the authority vested by Section 2018 of the Business and Professions Code, and to implement, interpret or make specific Sections 144 and 901 of said Code, the Board is considering changes to Division 13 of Title 16 of the California Code of Regulations as follows:

#### INFORMATIVE DIGEST/POLICY STATEMENT OVERVIEW

Adopt Sections 1333., 1333.1, 1333.2, and 1333.3, in Article 9.1 of Chapter 1 of Division 13, relating to Sponsored Free Health Care Events.

Sponsored Free Health Care Events is not addressed in current regulation.

This proposal requires the Medical Board of California to implement legislation, AB 2699, enacting Business and Professions Code Section 901, which took effect January 1, 2011. This statute provides a regulatory framework for certain health care events at which free care is offered to uninsured or under-insured individuals by volunteer health care practitioners where those practitioners may include individuals who may be licensed in one or more states but are not licensed in California.

These proposed regulations will implement, interpret, and make specific the provisions of Section 901, including the application and registration requirements, disciplinary actions, etc.

The registration of sponsoring entity Form 901-A (MBC/2011) and the request for authorization to practice without a license Form 901-B (MBC/2011) are incorporated by reference.

#### FISCAL IMPACT ESTIMATES

Fiscal Impact on Public Agencies Including Costs or Savings to State Agencies or Costs/Savings in Federal Funding to the State: None

Nondiscretionary Costs/Savings to Local Agencies: None

Local Mandate: None

Cost to Any Local Agency or School District for Which Government Code Section 17500-17630 Require Reimbursement: None

<u>Business Impact</u>: The Board has made an initial determination that the proposed regulatory action would have no significant statewide adverse economic impact directly affecting business, including the ability of California businesses to compete with businesses in other states.

#### Impact on Jobs/New Businesses:

The Board has determined that this regulatory proposal will not have a significant adverse economic impact on the creation of jobs or new businesses or the elimination of jobs or existing businesses or the expansion of businesses in the State of California.

The regulation impacts those out-of-state health care practitioners applying to the Medical Board of California to participate in communitybased organizations that provide sponsored free health care events in California.

The proposed regulation may provide an opportunity for out-of-state licensed volunteers to participate in community sponsored free health care events.

#### Cost Impact on Representative Private Person or Business:

The Board is not aware of any cost impacts that a representative private person or business would necessarily incur in reasonable compliance with the proposed action.

Effect on Housing Costs: None

#### EFFECT ON SMALL BUSINESS

The Board has determined that the proposed regulations would not affect small businesses; instead, the impact of this rulemaking is to offer free health care to uninsured or under-insured Californians by volunteer health care practitioners coming from out-of-state.

## CONSIDERATION OF ALTERNATIVES

The Board must determine that no reasonable alternative it considered to the regulation or that has otherwise been identified and brought to its attention would either be more effective in carrying out the purpose for which the action is proposed or would be as effective and less burdensome to affected private persons than the proposal described in this Notice. Any interested person may present statements or arguments orally or in writing relevant to the above determinations at the above-mentioned hearing.

### INITIAL STATEMENT OF REASONS AND INFORMATION

The Board has prepared an initial statement of the reasons for the proposed action and has available all the information upon which the proposal is based. Copies of the initial statement of reasons and all of the information upon which the proposal is based may be obtained from the person designated in the Notice under <u>Contact Person</u> or by accessing the Board's website:

http://www.medbd.ca.gov/laws/regulations\_proposed.html.

### TEXT OF PROPOSAL

Copies of the exact language of the proposed regulations and of the initial statement of reasons, and all of the information upon which the proposal is based, may be obtained at the hearing or prior to the hearing upon request from the person designated in this Notice under <u>Contact Person</u> or by accessing the Board's website: http://www.medbd.ca.gov/laws/regulations\_proposed.html.

# AVAILABILITY AND LOCATION OF THE FINAL STATEMENT OF REASONS AND RULEMAKING FILE

All the information upon which the proposed regulations are based is contained in the rulemaking file which is available for public inspection by contacting the person named below.

You may obtain a copy of the final statement of reasons once it has been prepared, by making a written request to the contact person named below, or by accessing the Board's website: http://www.medbd.ca.gov/laws/regulations\_proposed.html.

#### CONTACT PERSON

Inquiries or comments concerning the proposed rulemaking action may be addressed to:

Name:	Jennifer Simoes, Chief of Legislation
	Medical Board of California
Address:	2005 Evergreen Street, Suite 1200
	Sacramento, CA 95815
Telephone No.:	(916) 263-2389

Fax No.:(916) 263-2387E-Mail Address:regulations@mbc.ca.gov

The backup contact person is:

Name:	Kevin A. Schunke
	Medical Board of California
Address:	2005 Evergreen Street, Suite 1200
Telephone No.:	(916) 263-2389
Fax No.:	(916) 263-2387
E-Mail Address:	regulations@mbc.ca.gov

<u>Website Access</u> : Materials regarding this proposal can be found at http://www.medbd.ca.gov/laws/regulations\_proposed.html.

#### MEDICAL BOARD OF CALIFORNIA

#### INITIAL STATEMENT OF REASONS

Hearing Date: May 6, 2011

Subject Matter of Proposed Regulations: Sponsored Free Health Care Events

<u>Sections affected</u>: Adopt Sections 1333., 1333.1, 1333.2 and 1333.3 in Article 9.1, of Chapter 1, Division 13, of Title 16.

#### Introduction

On September 23, 2010, Governor Arnold Schwarzenegger signed AB 2699 (Bass, Chapter 270, Statutes of 2010), enacting Business and Professions Code Section 901 ("§ 901"), which takes effect January 1, 2011. This statute provides a regulatory framework for certain health care events at which free care is offered to uninsured or under-insured individuals by volunteer health care practitioners where those practitioners may include individuals who may be licensed in one or more states but are not licensed in California. Prior to this enactment, licensing laws precluded the participation of volunteers licensed outside of California. § 901 defines "sponsoring entities," "sponsored events," and "health care practitioners," and sets forth requirements for registration of sponsoring entities and authorization for participation by practitioners licensed in other states by the various boards responsible for licensure and regulation of healing arts.

These proposed regulations would implement, interpret, and make specific the provisions of § 901 by specifying procedures and forms to be used by sponsoring entities and out-of-state practitioners who desire to participate in sponsored events. The board's highest priority is the protection of the public and these proposed regulations are intended to implement § 901 in a manner that will provide the greatest protection for the people of California.

#### Specific Purpose of each adoption, amendment, or repeal:

<u>Adopt section 1333.</u> (Definitions) – This section is needed to clarify the language of the statute. Specifically, the definition of "community-based organization" is necessary because there is no statutory definition. The definition of "out-of-state practitioner" is needed to clarify which practitioners the proposed regulations are intended to affect.

#### Factual Basis/Rationale:

"Community-based organization" is listed in the statute as one type of sponsoring entity. There is no definition of such an entity in state statute. The proposed definition of this term therefore is derived from a federal law (Title 20 USCA section 7801 related to education law) that does contain a definition of "community-based organization." This definition provides much-needed clarity to the term.

The statute defines "health care practitioner" as any person who engages in acts subject to

licensure under Division 2 of the Business and Professions Code. The proposed regulations, along with the operative provisions of § 901, however, concern specifically health care practitioners licensed to practice medicine in other states and territories. Therefore, in order to provide clarity for purposes of the text of the regulations, the definition of "out-of-state practitioner" is proposed. The definition is based upon the criteria set forth in § 901(b).

<u>Adopt section 1333.1(a)</u> (Sponsoring Entity Registration) – This section establishes a timeframe for submission of a sponsoring entity's registration form and prescribes a registration form to be used.

#### Factual Basis/Rationale:

Sponsoring entities are required under § 901 (d) to register with the board if they will have outof-state practitioners participating in their sponsored event. Therefore, the proposed regulation implements the statute by providing a form that a sponsoring entity can use to meet this requirement. The form includes space for all of the information required to be submitted under the statute. Also, the proposed regulation requires that sponsoring entities submit their registration forms no later than 90 days prior to the sponsored events. This is proposed in order to allow for sufficient time for review of the registration information and to have the registration in place prior to receipt of participation authorization requests from out-of-state practitioners.

<u>Adopt section 1333.1(b)</u> (Determination of Completeness of Form) – This section provides a mechanism for the board to delegate the receipt and review of the sponsoring entity registration form along with criteria for accepting or rejecting the registration.

Factual Basis/Rationale:

Because sponsoring entities may be required to register with multiple boards under § 901 (d), the proposed regulation allows the board to delegate the authority to receive and process the registration form to the Department of Consumer Affairs. Assuming that all applicable boards make this delegation, the sponsoring entity need only file one registration form and the Department will notify the boards that the sponsoring entity submitted a complete form. This proposed regulation also specifies that the registration form need be complete in order to be accepted and that all deficiencies must be corrected at least 30 days prior to the commencement of the sponsored event. This requirement is needed in order to ensure the board that the entity has provided all required information including the correct contact information for the sponsoring entity when the event commences.

<u>Adopt section 1333.1 (c)</u> (Recordkeeping Requirements) – This section implements and makes specific the recordkeeping requirements of sponsoring entities set forth in § 901 (g).

#### Factual Basis/Rationale:

§ 901(g) specifies certain records that sponsoring entities must maintain and requires entities to furnish these records upon request to the board. In order to implement these requirements, the proposed regulation specifies that these records must be kept both at the physical premises of the sponsoring event and at a location in California for the statutorily required five-year period.

Having these records available at the event and, thereafter, at a location in California is necessary in order to provide the board with access to the records. Further, the proposed regulation specifies that the records may be kept in either paper or electronic form and that the sponsoring entity shall notify the board upon registration of the form of its records. This provision clarifies that either form of records is acceptable to the board.

<u>Adopt section 1333.1 (d)</u> (Requirement of Prior Board Approval) – This section clarifies that authorization must be provided before a sponsoring entity may allow an out-of-state practitioner to participate in a sponsored event.

Factual Basis/Rationale:

§ 901 provides for authorization requirements for out-of-state practitioners and for registration requirements of sponsoring entities. This proposed regulation connects the two requirements by clarifying that a sponsoring entity may not permit an out-of-state practitioner to participate in its event unless and until it receives authorization from the board.

<u>Adopt section 1333.1 (e)</u> (Post-event Report) – This section specifies the information to be provided in the report required under § 901(f)

Factual Basis/Rationale:

§ 901(f) requires a report to be filed with the board by a sponsoring entity within 15 days after a sponsored event and sets forth the minimum information to be included. The statute, however, does not provide any information as to the form of the report. The proposed regulation makes clear the board will accept a report in whichever form the sponsoring entity chooses. Also, the proposed regulation includes a requirement of each participating out-of-state practitioner that the license number be included in the report. This information is necessary for the board to identify the participants involved.

<u>Adopt section 1333.2 (a)</u> (Request for Authorization to Participate) – This section provides the mechanism by which an out-of-state practitioner may request authorization to participate in a sponsored event.

Factual Basis/Rationale:

Out-of-state practitioners who desire to participate in a sponsored event must request authorization from the board in accordance with § 901(b). The statute specifically requires the board to prescribe a form and set a processing fee for this purpose. The proposed regulation implements § 901(b) by incorporating proposed FORM 901-B to be submitted by the out-of-state practitioner to the board to request authorization to participate. The form provides space for the applicant to include all of the information required by the statute.

The processing fee of \$25 shall cover the cost of developing the authorization process and processing the request of the health care practitioner.

Additionally, the regulation requires the applicant to submit additional material not specifically listed in the statute. First, the applicant must submit personal identifying information including

contact information, the individual's social security number, employer's contact information and either a full set of fingerprints or a Live Scan inquiry. These requirement are reasonably necessary in order for the board to verify the requirement of § 901(b)(1)(B)(i) that the applicant has, "not committed any act or been convicted of a crime constituting grounds for denial of licensure or registration under [Business and Professions Code] Section 480." Section 480 authorizes a board to deny licensure based on an applicant's conviction of a crime. A criminal background check is more easily effective if the board has the appropriate personal identifying information. Further, the board is authorized to require applicants to furnish fingerprints for criminal background checks under Business and Professions Code section 144.

§ 901(b) also provides that applicants seeking authorization to participate must meet the educational and experience requirements determined by the board. The board has determined that applicant must have attended a medical school approved or recognized by the Board. It is the opinion of the board that these are the minimum requirements necessary to protect the public from inexperienced or unqualified practitioners who have not met the board's full requirements for licensure.

<u>Adopt section 1333.2 (b)</u> (Response to Request for Authorization to Participate) – This section sets forth the standard timeframe in which the board shall grant or deny the authorization request.

Factual Basis/Rationale:

§901(b)(1)(A) provides that the board shall notify the sponsoring entity within 20 days of receiving a request for authorization to participate whether that request is approved or denied. The proposed regulation sets forth this statutory requirement and is necessary in order to restate the standard timeframe for response by the board within the context of the regulations.

<u>Adopt section 1333.2 (c)</u> (Denial of Request to Participate) – This section sets forth the criteria under which the board must or may deny a request for authorization to participate.

Factual Basis/Rationale:

The statute provides that the board must authorize the participation of out-of-state practitioners in sponsored events, but it does not list specific criteria for denial of authorization other than if a practitioner "fails to comply with the requirements of this section or for any act that would be grounds for denial of an application for licensure." Therefore, it is necessary to provide at least some specific detail as to the criteria the board will use beyond the general authorization to deny an application.

The board has determined that the failure of an applicant to respond within seven days to a request for additional information will result in an automatic denial of a request. Because the board only has 20 days in which to grant or deny a request, timing is critical and the board's opinion is that failure of an applicant to respond within seven calendar days will sufficiently jeopardize the board's ability to effectively review a complete application within the allotted time.

Further, a failure to meet any of the specified educational and experience requirements determined by the board and discussed under section 1333.2 (a) of these proposed regulations

will constitute an automatic denial of the application. The Board has determined that these criteria are necessary to protect the public from inexperienced or unqualified practitioners who have not met the board's full requirements for licensure.

The proposed regulation also sets forth discretionary reasons for denying a request. The first of these is that the application is not received within 20 days prior to the event. § 901(b)(1)(A) provides that the board shall use reasonable efforts to notify the sponsoring entity within this time. The proposed regulation, however, provides needed clarity to the statute that, in the event that the statutorily required reasonable efforts are insufficient to review the application in advance of the event, the board may then deny the request. It would be counter to the board's consumer protection mandate to require it to grant authorization to an individual whose request is submitted in so short a time before the scheduled event that it cannot adequately be reviewed.

The other discretionary reasons for denial are based upon the past actions of the board with respect to that particular individual. The board is of the opinion that if an applicant has previously had a request denied or an authorization terminated, this alone may be cause for a subsequent denial. Because the time for review of the authorization is only 20 days, the board may not have time to revisit the case of an individual who has already been determined by the board as unfit to participate. The board feels that it is reasonable, however, to consider this a discretionary decision so that, on a case-by-case basis, the board can reevaluate a particular individual's circumstances as appropriate if sufficient time exists to do so without compromising public protection.

Adopt section 1333.2 (d) (Appeal of Denial) – This section provides an appeal procedure for an applicant who has had a request for authorization to participate denied by the board.

Factual Basis/Rationale:

§ 901 allows for the denial of a request for authorization to participate, but it does not provide any appeal procedure for the denied individual. In order to ensure some measure of due process, the board feels that applicants should have access to the same appeal procedure available for an out-of-state practitioner who has had his or her authorization terminated. Therefore, the proposed regulation references the appeal procedure in section 1333.3 of these proposed regulations, discussed below. This will provide consistency in the two appeal processes.

<u>Adopt section 1333.3 (a)</u> (Grounds for Termination of Authorization) – This section provides the grounds upon which the board may terminate the authorization to participate previously granted to an out-of-state practitioner.

Factual Basis/Rationale:

The first two grounds for termination listed in the proposed regulation are consistent with § 901(j)(1). As an additional ground for termination, this proposed regulation adds the receipt of a credible complaint indicating that the practitioner is unfit to practice or is endangering the public. This provision is necessary in order for the board to act consistently with its mandate that protection of the public is its highest priority. Because of the permissive and temporary

nature of the licensure exemption granted under § 901, and the limited time which the board has to review and verify the qualifications of the out-of-state practitioner, the board feels that it is essential that it may act immediately to terminate the authorization to participate granted to the non-California licensed individual when a credible complaint of endangerment is received.

<u>Adopt section 1333.3 (b)</u> (Notice of Termination) – This section specifies written notice of a termination may be given during a sponsored event.

Factual Basis/Rationale:

The statute provides that written notice of a termination shall be given to both the sponsoring entity as well as the individual practitioner. This proposed regulation is necessary to clarify that in the event a termination is issued during the course of a sponsored event, the board may provide the written termination notice to any representative of the sponsoring entity on the premises of the event. The most expeditious way to notify the entity is at the event itself so that the practitioner will be instructed to cease practice immediately.

<u>Adopt section 1333.3 (c)</u> (Consequences of Termination) – This section sets forth the consequences of a termination of an authorization to participate and how the board will report the fact of the termination.

Factual Basis/Rationale:

§ 901(j)(3) provides that out-of-state practitioners shall not provide services under this statute following a termination of authorization. The proposed regulation specifies that the practitioner shall "immediately" cease their participation in the event. The board feels that this clarification is necessary in the event that a termination is issued during the course of an event. In case there is any confusion as to when the termination becomes effective, this proposed provision would be necessary to remove any doubt that the practitioner must immediately desist from participation as soon as the termination notice is received.

The proposed regulation also provides that the board will consider a termination of authorization a disciplinary measure that is reportable to the national practitioner data banks and the individual's out-of-state licensing authority(ies). The board views these provisions as reasonably necessary and logical in order to protect the public. The grounds for termination are those that the board itself would consider as disciplinary measures for its own licensees - B&P Section 475, 480, and violations of the Medical Practice Act. Therefore, because the board does not have licensing authority over the out-of-state practitioner, its only disciplinary remedy is to report the conduct to the individual's home jurisdiction and applicable national practitioner data bases. If the conduct is such that it would lead to action against the practitioner's out-of-state license, then the board would have that information available to it in the event that the individual applied for either a subsequent authorization to participate in a future sponsored event or a license to practice in California.

<u>Adopt section 1333.3 (d)</u> (Appeal of Termination) – This section provides the procedure for appealing denials of authorization and terminations of authorizations to participate.

Factual Basis/Rationale:

The statute allows for an out-of-state practitioner who has had his or her authorization to participate terminated by the board to file a written appeal to the board within 30 days of receipt of the termination notice. The proposed regulation specifies that this request for appeal shall be considered a request for an informal hearing under the Administrative Procedure Act (APA). This is potentially a less costly system than the formal hearing procedure and is warranted for removal of this type of authorization.

Adopt section 1333.3 (e) (Informal Conference Option) – This section provides an alternative to a hearing under the APA for appeals submitted by out-of-state practitioners.

#### Factual Basis/Rationale:

§ 901(j) allows for the filing of an appeal by an out-of-state practitioner. In addition to the APA procedure set forth in proposed section 1333.3 (d) above, this proposed regulation also offers the appealing out-of-state practitioner the option of an informal conference with the board's executive officer to try and resolve the appeal. This proposed regulation is consistent with the board's practice for its own licensees who have been issued a citation (B&P 125.9, 148, 2018 and CCR 1364.14) and provides an inexpensive option to ensure the efficient resolution of appeals when possible. The informal conference option proposed does not affect the appellant's right to a hearing under the APA.

#### Underlying Data

Technical, theoretical or empirical studies or reports relied upon (if any): None

#### Business Impact

This regulation will not have a significant adverse economic impact on businesses. This initial determination is based on the following facts or evidence/documents/testimony:

The regulation only impacts nonprofit organizations sponsoring free health care events and practitioners from other states volunteering in California. There is some impact to the out-ofstate volunteers in that they will be required to submit the processing fee to receive authorization to participate. This fee will have to be factored into the cost of that individual's volunteerism. The fee may be covered by sponsoring entities, who will also incur minor costs with respect to maintaining records of their volunteers, reporting to boards after events and filing a registration as appropriate. Those costs are imposed by the statute and not by these regulations

#### Specific Technologies or Equipment

This regulation does not mandate the use of specific technologies or equipment.

#### Consideration of Alternatives

No reasonable alternative to the regulation would be either more effective in carrying out the purpose for which the action is proposed or would be as effective and less burdensome to

affected private persons than the proposed regulation. The board is directed by statute to develop these regulations and there is, thus, no other method of developing the forms and procedure for registering sponsoring entities and granting authorization for requests by out-of-state practitioners to participate in sponsored events.

One possible alternative is to delay or refrain from promulgating any regulations – i.e., maintain the status quo. This is not reasonable because the statute contemplates a registration and fee process to be developed by the board to implement the statute. By not creating a procedure, the board would frustrate the purpose of the statute, which is intended to provide an opportunity for out-of-state licensed volunteers to participate in certain free health care events. Also, it is not reasonable to delay because the statute has a sunset date of January 1, 2014. Because the statute is only effective for three years, it is incumbent on the board to implement the required processes as soon as possible.

## Medical Board of California Sponsored Free Health Care Events Specific Language of Proposed Changes

Add Article 9.1 in Chapter 1 of Division 13 of Title 16, Cal. Code Regs. to read as follows:

## <u>Article 9.1.</u> <u>Sponsored Free Health Care Events—Requirements for Exemption.</u>

#### §1333. Definitions.

For the purposes of section 901 of the code:

(a) "Community-based organization" means a public or private nonprofit organization that is representative of a community or a significant segment of a community, and is engaged in meeting human, educational, environmental, or public safety community needs.

(b) "Out-of-state practitioner" means a person who is not licensed in California to engage in the practice of medicine but who holds a current valid license or certificate in good standing in another state, district, or territory of the United States to practice medicine.

NOTE: Authority cited: Business and Professions Code §§ 901, 2018. Reference: Business and Professions Code § 901.

## §1333.1. Sponsoring Entity Registration and Recordkeeping Requirements.

(a) Registration. A sponsoring entity that wishes to provide, or arrange for the provision of, health care services at a sponsored event under section 901 of the code shall register with the board not later than 90 calendar days prior to the date on which the sponsored event is scheduled to begin. A sponsoring entity shall register with the board by submitting to the board a completed Form 901-A (March 2011), which is hereby incorporated by reference.

(b) Determination of Completeness of Form. The board may, by resolution, delegate to the Department of Consumer Affairs the authority to receive and process Form 901-A (March 2011) on behalf of the board. The board or its delegatee shall inform the sponsoring entity in writing within 15 calendar days of receipt of the form that the form is either complete and the

sponsoring entity is registered or that the form is deficient and what specific information or documentation is required to complete the form and be registered. The board or its delegatee shall reject the registration if all of the identified deficiencies have not been corrected at least 30 days prior to the commencement of the sponsored event.

(c) Recordkeeping Requirements. Regardless of where it is located, a sponsoring entity shall maintain at a physical location in California a copy of all records required by section 901 as well as a copy of the authorization for participation issued by the board to an out-of-state practitioner. The sponsoring entity shall maintain these records for a period of at least five years after the date on which a sponsored event ended. The records may be maintained in either paper or electronic form. The sponsoring entity shall notify the board at the time of registration as to the form in which it will maintain the records. In addition, the sponsoring entity shall keep a copy of all records required by section 901(g) of the code at the physical location of the sponsored event until that event has ended. These records shall be available for inspection and copying during the operating hours of the sponsored event upon request of any representative of the board.

(d) Requirement for Prior Board Approval of Out-of-State Practitioner. A sponsoring entity shall not permit an out-of-state practitioner to participate in a sponsored event unless and until the sponsoring entity has received written approval from the board.

(e) Report. Within 15 calendar days after a sponsored event has concluded, the sponsoring entity shall file a report with the board summarizing the details of the sponsored event. This report may be in a form of the sponsoring entity's choosing, but shall include, at a minimum, the following information:

(1) The date(s) of the sponsored event;

(2) The location(s) of the sponsored event;

(3) The type(s) and general description of all health care services provided at the sponsored event; and

(4) A list of each out-of-state practitioner granted authorization pursuant to this article who participated in the sponsored event, along with the license number of that practitioner.

NOTE: Authority cited: Business and Professions Code §§ 901, 2018. Reference: Business and Professions Code § 901.

## § 1333.2. Out-of-State Practitioner Authorization to Participate in Sponsored Event

(a) Request for Authorization to Participate. An out-of-state practitioner ("applicant") may request authorization from the board to participate in a sponsored event and provide such health care services at the sponsored event as would be permitted if the applicant were licensed by the board to provide those services. Authorization must be obtained for each sponsored event in which the applicant seeks to participate.

(1) An applicant shall request authorization by submitting to the board a completed Form 901-B (MBC/2011), which is hereby incorporated by reference, accompanied by a non-refundable processing fee of up to \$25.

(2) The applicant also shall furnish either a full set of fingerprints or submit a Live Scan inquiry, with the associated fees, to establish the identity of the applicant and to permit the board to conduct a criminal history record check. This requirement shall apply only to the first application for authorization that is submitted by the applicant.

(b) Response to Request for Authorization to Participate. Within 20 calendar days of receiving a completed request for authorization, the board shall notify the sponsoring entity whether that request is approved or denied.

(c) Denial of Request for Authorization to Participate.

(1) The board shall deny a request for authorization to participate if:

(A) The submitted form is incomplete and the applicant has not responded within 7 calendar days to the board's request for additional information; or

(B) The applicant has not graduated from a medical school approved or recognized by the board; or

(C) The applicant has failed to comply with a requirement of this article or has committed any act that would constitute grounds for denial of an application for licensure by the board; or

(D) The applicant does not possess a current valid license in good standing.

The term "good standing" means the applicant:

 (i) Has not been charged with an offense for any act substantially related to the practice for which the applicant is licensed by any public agency;
 (ii) Has not entered into any consent agreement or been subject to an administrative decision that contains conditions placed upon the applicant's professional conduct or practice, including any voluntary surrender of license;

(iii) Has not been the subject of an adverse judgment resulting from the practice for which the applicant is licensed that the board determines constitutes evidence of a pattern or negligence or incompetence.

(2) The board may deny a request for authorization to participate if:

(A) The request is received less than 20 calendars days before the date on which the sponsored event will begin; or

(B) The applicant has been previously denied a request for authorization by the board to participate in a sponsored event; or

(C) The applicant has previously had an authorization to participate in a sponsored event terminated by the board.

(d) Appeal of Denial. An applicant requesting authorization to participate in a sponsored event may appeal the denial of such request by following the procedures set forth in section 1333(d).

NOTE: Authority cited: Business and Professions Code §§144, 901, 2018. Reference: Business and Professions Code § 901

### §1333.3. Termination of Authorization and Appeal.

(a) Grounds for Termination. The board may terminate an out-of-state practitioner's authorization to participate in a sponsored event for any of the following reasons:

(1) The out-of-state practitioner has failed to comply with any applicable provision of this article, or any applicable practice requirement or regulation of the board.

(2) The out-of-state practitioner has committed an act that would constitute grounds for discipline if done by a licensee of the board.

(3) The board has received a credible complaint indicating that the out-ofstate practitioner is unfit to practice at the sponsored event or has otherwise endangered consumers of the practitioner's services.

(b) Notice of Termination. The board shall provide both the sponsoring entity and the out-of-state practitioner with a written notice of the termination, including the basis for the termination. If the written notice is provided during a sponsored event, the board may provide the notice to any representative of the sponsored event on the premises of the event.

(c) Consequences of Termination. An out-of-state practitioner shall immediately cease his or her participation in a sponsored event upon receipt of the written notice of termination.

<u>Termination of authority to participate in a sponsored event shall be</u> deemed a disciplinary measure reportable to the national practitioner data banks. In addition, the board shall provide a copy of the written notice of termination to the licensing authority of each jurisdiction in which the out-of-state practitioner is licensed.

(d) Appeal of Termination. An out-of-state practitioner may appeal the board's decision to terminate an authorization in the manner provided by section 901(j)(2) of the code. The request for an appeal shall be considered a request for an informal hearing under the Administrative Procedure Act.

(e) Informal Conference Option. In addition to requesting a hearing, the out-of-state practitioner may request an informal conference with the executive officer regarding the reasons for the termination of authorization to participate. The executive officer shall, within 30 days from receipt of the request, hold an informal conference with the out-of-state practitioner. At the conclusion of the informal conference, the Executive Director or his/her designee may affirm or dismiss the termination of authorization to participate. The executive officer shall state in writing the reasons for his or her action and mail a copy of his or her findings and decision to the out-of-state practitioner within ten days from the date of the informal conference. The out-of-state practitioner does not waive his or her request for a hearing to contest a termination of authorization by requesting an informal conference. If the termination is dismissed after the informal conference, the request for a hearing shall be deemed to be withdrawn.

NOTE: Authority cited: Business and Professions Code §§ 901, 2018. Reference: Business and Professions Code § 901.

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## SPONSORED FREE HEALTH CARE EVENTS

## REGISTRATION OF SPONSORING ENTITY UNDER BUSINESS & PROFESSIONS CODE SECTION 901

In accordance with California Business and Professions Code Section 901(d), a nongovernment organization administering an event to provide health care services to uninsured and underinsured individuals at no cost may include participation by certain health care practitioners licensed outside of California if the organization registers with the California licensing authorities having jurisdiction over those professions. This form shall be completed and submitted by the sponsoring organization **at least 90 calendar days prior to the sponsored event**. Note that the information required by Business and Professions Code Section 901(d) must also be provided to the county health department having jurisdiction in each county in which the sponsored event will take place.

[Only one form (per event) should be completed and submitted to the Department of Consumer Affairs. The Department of Consumer Affairs will forward a copy of the completed registration form to each of the licensing authorities indicated on this form.]

## PART 1 – ORGANIZATIONAL INFORMATION

1.	Organ	nization	Name:
----	-------	----------	-------

2. Organization Contact Information (use principal office address):

Address Line 1

Address Line 2

City, State, Zip

Phone Number of Principal Office

Alternate Phone

Website

County

Organization Contact Information in California (*if different*):

Address Line 1

Address Line 2

Phone Number

Alternate Phone

City, State, Zip

County

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3. Type of Organization:

Is the organiz	ation operating	pursuant to	o Section	501(c)(3)	of the	Internal F	Revenue
Code?	Yes	No					

If not, is the organization a community-based organization\*?

Organization's Tax Identification Number

If a community-based organization, please describe the mission, goals and activities of the organization (*attach separate sheet(s) if necessary*): \_\_\_\_\_\_

\* A "community based organization" means a public or private nonprofit organization that is representative of a community or a significant segment of a community, and is engaged in meeting human, educational, environmental, or public safety community needs.

## PART 2 – RESPONSIBLE ORGANIZATION OFFICIALS

Please list the following information for each of the principal individual(s) who are the officers or officials of the organization responsible for operation of the sponsoring entity.

Individual 1:

Name		Title	
Address Line 1	<u> </u>	Phone	
Address Line 2		Alternate Phone	
City, State, Zip		E-mail address	
County			
Individual 2:			
Name		Title	
Address Line 1		Phone	
Address Line 2		Alternate Phone	
City, State, Zip	<u> </u>	E-mail address	
County			

Individual 3:

Name	•	Title
Address Line 1		Phone
Address Line 2		Alternate Phone
City, State, Zip		E-mail address

County

(Attach additional sheets if needed to list additional principal organizational individuals)

## PART 3 - EVENT DETAILS

1. Name of event, if any: \_\_\_\_\_

2. Date(s) of event (not to exceed ten calendar days): \_\_\_\_\_

3. Location(s) of the event (be as specific as possible, including address):

4. Describe the intended event, including a list of all types of healthcare services intended to be provided (attach additional sheet(s) if necessary):

5. Attach a list of all out-of-state health care practitioners who you currently believe intend to apply for authorization to participate in the event. The list should include the name, profession, and state of licensure of each identified individual.

Check here to indicate that list is attached.

6. Please check each licensing authority that will have jurisdiction over an out-of-state licensed health practitioner who intends to participate in the event:

Acupuncture Board	Physician Assistant Committee
Board of Behavioral Sciences	Physical Therapy Board
Board of Chiropractic Examiners	Board of Podiatric Medicine
Dental Board	Board of Psychology

- erapy Board diatric Medicine
- ychology
- \_ Dental Hygiene Committee \_\_\_\_ Board of Registered Nursing

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- Medical Board of California
- \_\_\_\_ Naturopathic Medicine Committee
- \_\_\_\_ Board of Occupational Therapy
- Board of Optometry
- Osteopathic Medical Board
- Board of Pharmacy

\_\_\_ Respiratory Care Board

\_\_\_\_ Speech-Language Pathology,

- Audiology & Hearing Aid Dispensers Board
- Veterinary Medical Board
- Board of Vocational Nursing & Psychiatric Technicians

#### <u>Note:</u>

- Each individual out-of-state practitioner must request authorization to participate in the event by submitting an application (Form 901-B) to the applicable licensing Board/Committee.
- The organization will be notified in writing whether authorization for an individual out-of-state practitioner has been granted.
- I understand the recordkeeping requirements imposed by California Business and Professions Code Section 901 and the applicable sections of Title 16, California Code of Regulations for the agencies listed above to maintain records in either electronic or paper form both at the sponsored event and for five (5) years in California
- I understand that our organization must file a report with each applicable board/committee within fifteen (15) calendar days of the completion of the event.

This form, any attachments, and all related questions shall be submitted to:

Department of Consumer Affairs Attn: Sponsored Free Health Care Events

1625 North Market Blvd. Sacramento, CA 95834

I certify under penalty of perjury that the information provided on this form and any attachments is true and current and that I am authorized to sign this form on behalf of the organization:

Name Printed

Title

Signature

Date



## **MEDICAL BOARD OF CALIFORNIA**

Licensing Program 2005 Evergreen Street, Suite 1200 Sacramento, CA 95815 (916) 263-2389 Fax (916) 263-2487



## REQUEST FOR AUTHORIZATION TO PRACTICE WITHOUT A LICENSE AT A SPONSORED FREE HEALTH CARE EVENT

In accordance with California Business and Professions Code Section 901 any physician and surgeon licensed and in good standing in another state, district, or territory in the United States may request authorization from the Medical Board of California (Board) to participate in a free health care event offered by a sponsoring entity, registered with the Board pursuant to Section 901, for a period not to exceed ten (10) days.

## **PART 1 - APPLICATION INSTRUCTIONS**

An application must be complete and must be accompanied by all of the following:

- A processing fee of \$25, made payable to the board.
- A copy of each valid and current license and/or certificate authorizing the applicant to engage in the practice of medicine issued by any state, district, or territory of the United States.
- A copy of a valid photo identification of the applicant issued by one of the jurisdictions in which the applicant holds a license or certificate to practice.
- A full set of fingerprints or a Live Scan inquiry and the associated fee; this will be used to establish your identity and to conduct a criminal history record check. However, this requirement shall apply only to the first application for authorization that you submit.
- Educational records to prove you graduated from a medical school that is approved or recognized by the board.

The board will not grant authorization until this form has been completed in its entirety, all required enclosures have been received by the board, and any additional information requested by the Board has been provided by the applicant and reviewed by the board, and a determination made to grant authorization.

The board shall process this request and notify the sponsoring entity listed on this form if the request is approved or denied within 20 calendar days of receipt. If the board requires additional or clarifying information, the board will contact you directly, but written approval or denial of requests will be provided directly to the sponsoring entity. It is the applicant's responsibility to maintain contact with the sponsoring entity.

#### PART 2 -- NAME AND CONTACT INFORMATION

1. Applicant Name:			_
First	Middle	Last	
2. U.S. Social Security Number:	[	Date of Birth:	
3. Applicant's Contact Information:			
Address Line 1		Phone	
Address Line 2		Alternate Phone	
City, State, Zip		E-mail address	
4. Applicant's Employer:			
Employer's Contact Information:		x	
-			
Address Line 1		Phone	
Address Line 2		Facsimile	
City, State, Zip		E-mail address (if available)	

5. Name and Location of Medical School from which Applicant Graduated:

#### **PART 3 – LICENSURE INFORMATION**

1. Do you hold a current license, certification, or registration in good standing issued by a state, district, or territory of the United States authorizing the unrestricted practice of medicine in your jurisdiction(s)? The term "good standing" means you:

- Have not been charged with an offense for any act substantially related to the practice for which the applicant is licensed by any public agency;
- Have not entered into any consent agreement or been subject to an administrative decision that contains conditions placed upon the applicant's professional conduct or practice, including any voluntary surrender of license;
- Have not been the subject of an adverse judgment resulting from the practice for which the applicant is licensed that the board determines constitutes evidence of a pattern or negligence or incompetence.

No

Yes

If no, you are <u>not</u> eligible to participate as an out-of-state practitioner in the sponsored event.

If yes, in the chart on the top of the next page, list every license, certificate, and registration authorizing you to engage in the practice of medicine in the following table. If there are not enough boxes to include all the relevant information please attach an addendum to this form. Please also attach a copy of each of your current licenses, certificates, and registrations.

Jurisdiction	Issuing Agency/Authority	License Number	Expiration Date
		. •	
. Have you ever	been subject to any disciplinary ac		licensing body?
		NO	
4. Have you ever n expired status v	allowed any license or certification	to practice medicine to	o cancel or to remair
n expired status	allowed any license or certification without renewal? Yes r committed any act or been convic	to practice medicine to No ted of a crime constitut	

## PART 4 – SPONSORED EVENT

1. Name of non-profit or community-based organization hosting the free healthcare event (the "sponsoring entity"):

2. Name of event: \_\_\_\_\_\_

3. Date(s) & location(s) of the event: \_\_\_\_\_

4. Date(s) & location(s) applicant will be performing healthcare services (if different):

5. Please specify the healthcare services you intend to provide:

6. Name and phone number of contact person with sponsoring entity:

#### PART 5 – ACKNOWLEDGMENT/CERTIFICATION

I, the undersigned, declare under penalty of perjury under the laws of the State of California and acknowledge that:

- I have not committed any act or been convicted of a crime constituting grounds for denial of licensure by the board.
- I am in good standing with the licensing authority or authorities of all jurisdictions in which I hold licensure and/or certification to practice medicine.
- I am responsible for knowing and will comply with all applicable practice requirements required of licensed physician and surgeons and all regulations of the Board.
- In accordance with Business and Professions Code Section 901(i), I will only practice within the scope of my licensure and/or certification and within the scope of practice for California-licensed physicians and surgeons.
- I will provide the services authorized by this request and Business and Professions Code Section 901 to uninsured and underinsured persons only and shall receive no compensation for such services.
- I will provide the services authorized by this request and Business and Professions Code Section 901 only in association with the sponsoring entity listed herein and only on the dates and at the locations listed herein for a period not to exceed 10 calendar days.

- Practice of a regulated profession in California without proper licensure and/or authorization may subject me to potential administrative, civil and/or criminal penalties.
- The Board may notify the licensing authority of my home jurisdiction and/or other appropriate law enforcement authorities of any potential grounds for discipline associated with my participation in the sponsored event.
- All information provided by me in this application is true and complete. By submitting this application and signing below, I am granting permission to the Board to verify the information provided and to perform any investigation pertaining to the information I have provided as the board deems necessary.

Date

**Printed Name** 

## MEDICAL BOARD OF CALIFORNIA Sponsored Free Health Care Events

### Modified Text

## <u>Changes to the originally proposed language are shown in double</u> <u>underline for new text and underline with strikeout for deleted text.</u>

Add Article 9.1 in Chapter 1 of Division 13 of Title 16, Cal. Code Regs. to read as follows:

#### Article 9.1.

Sponsored Free Health Care Events—Requirements for Exemption.

#### §1333. Definitions.

For the purposes of section 901 of the code:

(a) "Community-based organization" means a public or private nonprofit organization that is representative of a community or a significant segment of a community, and is engaged in meeting human, educational, environmental, or public safety community needs.

(b) "Out-of-state practitioner" means a person who is not licensed in California to engage in the practice of medicine but who holds a current valid license or certificate in good standing in another state, district, or territory of the United States to practice medicine.

NOTE: Authority cited: Sections 901 and 2018, Business and Professions Code §§ 901, 2018. Reference: Section 901, Business and Professions Code § 901.

§1333.1. Sponsoring Entity Registration and Recordkeeping Requirements.

(a) Registration. A sponsoring entity that wishes to provide, or arrange for the provision of, health care services at a sponsored event under section 901 of the code shall register with the board not later than 90 calendar days prior to the date on which the sponsored event is scheduled to begin. A sponsoring entity shall register with the board by submitting to the board a completed Form 901-A (March MBC/2011), which is hereby incorporated by reference. (b) Determination of Completeness of Form. The board may, by resolution, delegate to the Department of Consumer Affairs the authority to receive and process Form 901-A (March MBC/2011) on behalf of the board. The board or its delegatee shall inform the sponsoring entity in writing within 15 calendar days of receipt of the form that the form is either complete and the sponsoring entity is registered or that the form is deficient and what specific information or documentation is required to complete the form and be registered. The board or its delegatee shall reject the registration if all of the identified deficiencies have not been corrected at least 30 days prior to the commencement of the sponsored event.

(c) Recordkeeping Requirements. Regardless of where it is located, a sponsoring entity shall maintain at a physical location in California a copy of all records required by section 901 as well as a copy of the authorization for participation issued by the board to an out-of-state practitioner. The sponsoring entity shall maintain these records for a period of at least five years after the date on which a sponsored event ended. The records may be maintained in either paper or electronic form. The sponsoring entity shall notify the board at the time of registration as to the form in which it will maintain the records. In addition, the sponsoring entity shall keep a copy of all records required by section 901(g) of the code at the physical location of the sponsored event until that event has ended. These records shall be available for inspection and copying during the operating hours of the sponsored event upon request of any representative of the board.

(d) A sponsoring entity shall place a notice visible to patients at every station where patients are being seen by a physician and surgeon. The notice shall be in at least 48-point type in Arial font and shall include the following statement and information:

## **NOTICE**

Medical doctors providing health care services at this health fair are either licensed and regulated by the Medical Board of California or hold a current valid license from another state and have been authorized to provide health care services in California only at this specific health fair.

<u>Medical Board of California</u> (800) 633-2322 www.mbc.ca.gov

(d) (e) Requirement for Prior Board Approval of Out-of-State Practitioner. A sponsoring entity shall not permit an out-of-state practitioner to participate in a sponsored event unless and until the sponsoring entity has received written approval from the board. (e) (f) Report. Within 15 calendar days after a sponsored event has concluded, the sponsoring entity shall file a report with the board summarizing the details of the sponsored event. This report may be in a form of the sponsoring entity's choosing, but shall include, at a minimum, the following information:

(1) The date(s) of the sponsored event;

(2) The location(s) of the sponsored event;

(3) The type(s) and general description of all health care services provided at the sponsored event; and

(4) A list of each out-of-state practitioner granted authorization pursuant to this article who participated in the sponsored event, along with the license number of that practitioner.

NOTE: Authority cited: Sections 901 and 2018, Business and Professions Code §§ 901, 2018. Reference: Section 901, Business and Professions Code § 901.

# § 1333.2. Out-of-State Practitioner Authorization to Participate in Sponsored Event.

(a) Request for Authorization to Participate. An out-of-state practitioner ("applicant") may request authorization from the board to participate in a sponsored event and provide such health care services at the sponsored event as would be permitted if the applicant were licensed by the board to provide those services. Authorization must be obtained for each sponsored event in which the applicant seeks to participate.

(1) An applicant shall request authorization by submitting to the board a completed Form 901-B (MBC/2011), which is hereby incorporated by reference, accompanied by a non-refundable, non-transferable processing fee of up to \$25.

(2) The applicant also shall furnish either a full set of fingerprints or submit a Live Scan inquiry, with the associated fees, to establish the identity of the applicant and to permit the board to conduct a criminal history record check. The applicant shall pay any costs for furnishing the fingerprints and conducting the criminal history record check. This requirement shall apply only to the first application for authorization that is submitted by the applicant.

(b) Response to Request for Authorization to Participate. Within 20 calendar days of receiving a completed request for authorization, the board shall

notify the sponsoring entity or local government entity whether that request is approved or denied.

(c) Denial of Request for Authorization to Participate.

(1) The board shall deny a request for authorization to participate if:

(A) The submitted form is incomplete and the applicant has not responded within 7 calendar days to the board's request for additional information; or

(B) The applicant has not graduated from a medical school approved or recognized by the board; or

(C) The applicant has failed to comply with a requirement of this article or has committed any act that would constitute grounds for denial of an application for licensure by the board; or

(D) The applicant does not possess a current valid <u>active</u> license in good standing.

(E) The board has been unable to obtain a timely report of the results of the criminal history check.

The term "good standing" means the applicant:

(i) Has not been charged with an offense for any act substantially related to the practice for which the applicant is licensed by any public agency;
(ii) Has not entered into any consent agreement or been subject to an administrative decision that contains conditions placed upon the applicant's professional conduct or practice, including any voluntary surrender of license;

(iii) Has not been the subject of an adverse judgment resulting from the practice for which the applicant is licensed that the board determines constitutes evidence of a pattern or negligence or incompetence.

(2) The board may deny a request for authorization to participate if:

(A) The request is received less than 20 calendars days before the date on which the sponsored event will begin; or

(B) The applicant has been previously denied a request for authorization by the board to participate in a sponsored event; or (C) The applicant has previously had an authorization to participate in a sponsored event terminated by the board.

(d) Appeal of Denial. An applicant requesting authorization to participate in a sponsored event may appeal the denial of such request by following the procedures set forth in section 1333(d).

(e) An out-of-state practitioner who receives authorization to practice medicine at an event sponsored by a local government entity shall place a notice visible to patients at every station at which that person will be seeing patients. The notice shall be in at least 48-point type in Arial font and shall include the following statement and information:

#### <u>NOTICE</u>

<u>I hold a current valid license to practice medicine in a state other</u> <u>than California. I have been authorized by the Medical Board of</u> <u>California to provide health care services in California only at this</u> <u>specific health fair.</u>

<u>Medical Board of California</u> (800) 633-2322 www.mbc.ca.gov

NOTE: Authority cited: Sections 144, 901, and 2018, Business and Professions Code <u>§§144, 901, 2018</u>. Reference: Section 901, Business and Professions Code <u>§ 901</u>.

### §1333.3. Termination of Authorization and Appeal.

(a) Grounds for Termination. The board may terminate an out-of-state practitioner's authorization to participate in a sponsored event for any of the following reasons:

(1) The out-of-state practitioner has failed to comply with any applicable provision of this article, or any applicable practice requirement or regulation of the board.

(2) The out-of-state practitioner has committed an act that would constitute grounds for discipline if done by a licensee of the board.

(3) The board has received a credible complaint indicating that the out-ofstate practitioner is unfit to practice at the sponsored event or has otherwise endangered consumers of the practitioner's services. (b) Notice of Termination. The board shall provide both the sponsoring entity or local government entity and the out-of-state practitioner with a written notice of the termination, including the basis for the termination. If the written notice is provided during a sponsored event, the board may provide the notice to any representative of the sponsored event on the premises of the event.

(c) Consequences of Termination. An out-of-state practitioner shall immediately cease his or her participation in a sponsored event upon receipt of the written notice of termination.

<u>Termination of authority to participate in a sponsored event shall be</u> <u>deemed a disciplinary measure reportable to the national practitioner data banks.</u> <u>In addition, the board shall provide a copy of the written notice of termination to</u> <u>the licensing authority of each jurisdiction in which the out-of-state practitioner is</u> <u>licensed.</u>

(d) Appeal of Termination. An out-of-state practitioner may appeal the board's decision to terminate an authorization in the manner provided by section 901(j)(2) of the code. The request for an appeal shall be considered a request for an informal hearing under the Administrative Procedure Act.

(e) Informal Conference Option. In addition to requesting a hearing, the out-of-state practitioner may request an informal conference with the executive officer regarding the reasons for the termination of authorization to participate. The executive officer shall, within 30 days from receipt of the request, hold an informal conference with the out-of-state practitioner. At the conclusion of the informal conference, the Executive Director or his/her designee may affirm or dismiss the termination of authorization to participate. The executive officer shall state in writing the reasons for his or her action and mail a copy of his or her findings and decision to the out-of-state practitioner within ten days from the date of the informal conference. The out-of-state practitioner does not waive his or her request for a hearing to contest a termination of authorization by requesting an informal conference. If the termination is dismissed after the informal conference, the request for a hearing shall be deemed to be withdrawn.

NOTE: Authority cited: Sections 901 and 2018, Business and Professions Code §§ 901, 2018. Reference: Section 901, Business and Professions Code § 901.



## MEDICAL BOARD OF CALIFORNIA Licensing Program 2005 Evergreen Street, Suite 1200

Sacramento, CA 95815 (916) 263-2389 Fax (916) 263-2487



## REQUEST FOR AUTHORIZATION TO PRACTICE WITHOUT A <u>CALIFORNIA</u> LICENSE AT A SPONSORED FREE HEALTH CARE EVENT

In accordance with California Business and Professions Code Section 901 any physician and surgeon licensed and in good standing in another state, district, or territory in the United States may request authorization from the Medical Board of California (Board) to participate in a free health care event offered by a <u>local</u> <u>government entity or a</u> sponsoring entity, registered with the Board pursuant to Section 901, for a period not to exceed ten (10) days.

## **PART 1 - APPLICATION INSTRUCTIONS**

An application must be complete and must be accompanied by all of the following:

- A processing fee of \$25, made payable to the board.
- A copy of each valid<u></u>, and current <u>active</u> license and/or certificate authorizing the applicant to engage in the practice of medicine issued by any state, district, or territory of the United States.
- A copy of a valid photo identification of the applicant issued by one of the jurisdictions in which the applicant holds a license or certificate to practice.
- A full set of fingerprints or a Live Scan inquiry and the associated fee; tThis will be used to establish your identity and to conduct a criminal history record check. The applicant shall pay any costs for furnishing the fingerprints and conducting the criminal history record check. However, this requirement shall apply only to the first application for authorization that you submit.
- Educational records to prove you graduated from a medical school that is approved or recognized by the board.

The board will not grant authorization until this form has been completed in its entirety, all required enclosures have been received by the board, and any additional information requested by the Board has been provided by the applicant and reviewed by the board, and a determination made to grant authorization.

The board shall process this request and notify the sponsoring entity listed on this form if <u>whether</u> the request is approved or denied within 20 calendar days of receipt. If the board requires additional or clarifying information, the board will contact you directly, but **written approval or denial of requests will be provided directly to the sponsoring entity** <u>or local government entity</u>. It is the applicant's responsibility to maintain contact with the sponsoring entity <u>or the local government entity</u>.

## PART 2 - NAME AND CONTACT GENERAL INFORMATION\*

1. Applicant Name: First	Middle	Last	
2. U.S. Social Security Number <sup>*</sup> :		Date of Birth:	
3. Applicant's Contact Information:			
Address Line 1		Phone	<u>.                                    </u>
Address Line 2		Alternate Phone	
City, State, Zip	<u></u>	E-mail address	
4. Applicant's Employer:		· · ·	
Employer's Contact Information:			
Address Line 1		Phone	
Address Line 2	· · · · · · · · · · · · · · · · · · ·	Facsimile	
City, State, Zip		E-mail address (if available)	
5. Name and Location of Medical S	chool from which A	pplicant Graduated:	

\*The information provided on this application is maintained by the Executive Director of the Medical Board of California, 2005 Evergreen Street, Suite 1200, Sacramento, California 95815, pursuant to Business and Professions Code § 901. It is mandatory that you provide all information requested. Omission of any item of information will result in the application being rejected as incomplete.

You have the right to review the records maintained on you by the board unless the records are exempt from disclosure. You may gain access to the information by contacting the board at the above address.

#### **PART 3 – LICENSURE INFORMATION**

1. Do you hold a <u>valid</u>, current <u>active</u> license, <u>certification</u>, <u>or registration</u> in good standing issued by a state, district, or territory of the United States authorizing the unrestricted practice of medicine in your jurisdiction(s)? The term "good standing" means you:

• Have not been charged with an offense for any act substantially related to the practice for which the applicant is licensed by any public agency;

- Have not entered into any consent agreement or been subject to an administrative decision that contains conditions placed upon the applicant's professional conduct or practice, including any voluntary surrender of license;
- Have not been the subject of an adverse judgment resulting from the practice for which the applicant is licensed that the board determines constitutes evidence of a pattern or negligence or incompetence.

No

Yes

If no, you are <u>not</u> eligible to participate as an out-of-state practitioner in the sponsored event.

If yes, in the chart on the top of the next page, list every license, certificate, and registration authorizing you to engage in the practice of medicine in the following table. If there are not enough boxes to include all the relevant information please attach an addendum to this form. Please also attach a copy of each of your current licenses, certificates, and registrations.

State/ Jurisdiction	Issuing Agency/Authority	License Number	Expiration Date
	· · ·		
	• • • • • • • • • • • • • • • • • • • •		· · · · · · · · · · · · · · · · · · ·

2. Have you ever had a license or certification to practice medicine revoked or suspended?

3. Have you ever been subject to any disciplinary action or proceeding by a licensing body? \_\_\_\_ Yes \_\_\_\_ No

4. Have you ever allowed any license or certification to practice medicine to cancel or to remain in expired status without renewal?

\_\_\_\_Yes \_\_\_\_No

5- <u>4.</u> Have you ever committed any act or been convicted of a crime constituting grounds for denial of licensure?

\_\_\_Yes \_\_\_No

6. If you answered "Yes" to any of questions 2 – 5 <u>4</u>, above, please explain (*attach additional page(s) if necessary*): \_\_\_\_\_\_

#### PART 4 – SPONSORED EVENT

1. Name <u>and address</u> of <u>local government entity</u>, non-profit, or community-based organization hosting the free healthcare event (the "sponsoring entity"): \_\_\_\_\_\_

2. Name of event: \_\_\_\_\_

3. Date(s) & location(s) of the event:

4. Date(s) & location(s) applicant will be performing healthcare services (if different):

5. Please specify the healthcare services you intend to provide:

6. Name and phone number of contact person with sponsoring entity or local government entity:

.

.

#### PART 5 – ACKNOWLEDGMENT/CERTIFICATION

I, the undersigned, declare under penalty of perjury under the laws of the State of California and acknowledge that:

- I have not committed any act or been convicted of a crime constituting grounds for denial of licensure by the board.
- I am in good standing with the licensing authority or authorities of all jurisdictions in which I hold licensure and/or certification to practice medicine.

- I am responsible for knowing and will comply with all applicable practice requirements required of licensed physician and surgeons and all regulations of the Board.
- In accordance with Business and Professions Code Section 901(i), I will only
  practice within the scope of my licensure and/or certification and within the scope
  of practice for California-licensed physicians and surgeons.
- I will provide the services authorized by this request and Business and Professions Code Section 901 to uninsured and underinsured persons only and shall receive no compensation for such services.
- I will provide the services authorized by this request and Business and Professions Code Section 901 only in association with the sponsoring entity or <u>local government entity</u> listed herein and only on the dates and at the locations listed herein for a period not to exceed 10 calendar days.
- I must post the notice required by 16 CCR 1333.2 if the event is sponsored by a local government entity..
- Practice of a regulated profession in California without proper licensure and/or authorization may subject me to potential administrative, civil and/or criminal penalties.
- The Board may notify the licensing authority of my home jurisdiction and/or other appropriate law enforcement authorities of any potential grounds for discipline associated with my participation in the sponsored event.
- All information provided by me in this application is true and complete. By submitting this application and signing below, I am granting permission to the Board to verify the information provided and to perform any investigation pertaining to the information I have provided as the board deems necessary.

Signature

Date

Printed Name





## SPONSORED FREE HEALTH CARE EVENTS

## **REGISTRATION OF SPONSORING ENTITY UNDER BUSINESS & PROFESSIONS CODE SECTION 901**

In accordance with California Business and Professions Code Section 901(d), a nongovernment organization administering an event to provide health care services to uninsured and underinsured individuals at no cost may include participation by certain health care practitioners licensed outside of California if the organization registers with the California licensing authorities having jurisdiction over those professions. This form shall be completed and submitted by the sponsoring organization **at least 90 calendar days prior to the sponsored event**. Note that the information required by Business and Professions Code Section 901(d) must also be provided to the county health department having jurisdiction in each county in which the sponsored event will take place.

[Only one form (per event) should be completed and submitted to the Department of Consumer Affairs. The Department of Consumer Affairs will forward a copy of the completed registration form to each of the licensing authorities indicated on this form.]

## PART 1 – ORGANIZATIONAL INFORMATION

1. Orga	nization	Name:
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2. Organization Contact Information (use principal office address):

Address Line 1

Address Line 2

City, State, Zip

Phone Number of Principal Office

Alternate Phone

Website

County

Organization Contact Information in California (if different):

Address Line 1

Address Line 2

Phone Number

Alternate Phone

City, State, Zip

County

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3. Type of Organization:

Individual 1

Is the organization operating pursuant to Section 501(c)(3) of the Internal Revenue Code? \_\_\_\_\_ Yes \_\_\_\_\_ No

If not, is the organization a community-based organization\*? Yes No

Organization's Tax Identification Number \_

If a community-based organization, please describe the mission, goals and activities of the organization (*attach separate sheet(s) if necessary*):

\* A "community based organization" means a public or private nonprofit organization that is representative of a community or a significant segment of a community, and is engaged in meeting human, educational, environmental, or public safety community needs.

## PART 2 – RESPONSIBLE ORGANIZATION OFFICIALS

Please list the following information for each of the principal individual(s) who are the officers or officials of the organization responsible for operation of the sponsoring entity.

Name	Title
Address Line 1	Phone
Address Line 2	Alternate Phone
City, State, Zip	E-mail address
County	·······
Individual 2:	
Name	Title
Address Line 1	Phone
Address Line 2	Alternate Phone
City, State, Zip	E-mail address
County	
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#### Individual 3:

Name	Title	
Address Line 1	 Phone	
Address Line 2	 Alternate Phone	
City, State, Zip	 E-mail address	

County

(Attach additional sheets if needed to list additional principal organizational individuals)

## PART 3 – EVENT DETAILS

1. Name of event, if any: \_\_\_\_\_

2. Date(s) of event (not to exceed ten calendar days): \_\_\_\_\_

3. Location(s) of the event (be as specific as possible, including address):

4. Describe the intended event, including a list of all types of healthcare services intended to be provided (*attach additional sheet(s) if necessary*): \_\_\_\_\_\_

5. Attach a list of all out-of-state health care practitioners who you currently believe intend to apply for authorization to participate in the event. The list should include the name, profession, and state of licensure of each identified individual.

\_\_\_\_ Check here to indicate that list is attached.

6. Please check each licensing authority that will have jurisdiction over an out-of-state licensed health practitioner who intends to participate in the event:

Acupuncture Board		
Board of Behavioral Sciences		

- Board of Chiropractic Examiners
- Dental Board
- Dental Hygiene Committee

- \_\_\_\_ Physician Assistant Committee
- Physical Therapy Board
- \_\_\_\_ Board of Podiatric Medicine
- \_\_\_\_ Board of Psychology
- \_\_\_\_ Board of Registered Nursing

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Medical Board of California

- Naturopathic Medicine Committee
- Board of Occupational Therapy
- Board of Optometry
- Osteopathic Medical Board
- \_\_\_\_ Board of Pharmacy
- Respiratory Care Board

- Speech-Language Pathology, Audiology & Hearing Aid Dispensers Board
- \_\_\_\_ Veterinary Medical Board
- Board of Vocational Nursing & Psychiatric Technicians
- <u>Other</u>

## Note:

- Each individual out-of-state practitioner must request authorization to participate in the event by submitting an application (Form 901-B) to the applicable licensing Board/Committee.
- The organization will be notified in writing whether authorization for an individual out-of-state practitioner has been granted.
- I understand the recordkeeping requirements imposed by California Business and Professions Code Section 901 and the applicable sections of Title 16, California Code of Regulations for the agencies listed above to maintain records in either electronic or paper form both at the sponsored event and for five (5) years in California
- I understand that our organization must file a report with each applicable board/committee within fifteen (15) calendar days of the completion of the event.

This form, any attachments, and all related questions shall be submitted to: Department of Consumer Affairs

Attn: Sponsored Free Health Care Events 1625 North Market Blvd. Sacramento, CA 95834

- <u>I understand the recordkeeping requirements imposed by California Business</u> and Professions Code Section 901 and the applicable sections of Title 16. <u>California Code of Regulations for the agencies listed above to maintain records</u> in either electronic or paper form both at the sponsored event and for five (5) years in California.
- <u>I understand that our organization must file a report with each applicable</u> <u>board/committee within fifteen (15) calendar days of the completion of the event.</u>

I certify under penalty of perjury <u>under the laws of the State of California</u> that the information provided on this form and any attachments is true and current and that I am authorized to sign this form on behalf of the organization:

Name Printed	Title
Signature	Date

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