



2012 - 2013 ANNUAL REPORT Medical Board of California

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Executive Summary

The Medical Board of California (Board) is a special-fund entity, which means it operates solely on revenue received from its licensing and enforcement authority. During the 2012-2013 fiscal year, the Board was able to continue filling vacant staff positions, including needed investigators as well as a new staff counsel and public affairs manager. The Board is at its lowest vacancy rate in years, which will significantly assist the Board in fulfilling its primary mission of consumer protection.

The Licensing Program was within its strategic plan goal of reviewing applications within 45 days of receipt for the entire fiscal year. This is the first in several years that the Board has been under this goal for an entire year. In addition, information on the Board's Web site pertaining to Outpatient Surgery Settings was enhanced by adding a section on frequently asked questions and providing the ability for consumers to look up their surgery setting to determine which agency accredits the setting, who owns the setting, and obtain additional pertinent information relating to the status of the setting.

In November 2012, the Board submitted its Sunset Review Report to the Senate Business, Professions, and Economic Development Committee and the Assembly Business, Professions, and Consumer Protection Committee. In the report the Board suggested changes in law that would improve and enhance the Board's programs and stressed the importance of enhanced consumer protection. There were several key recommendations in the report, including recommending that an appropriate funding source be found to upgrade and continue the CURES system (California's Prescription Drug Monitoring Program); and recommending that coroners be required to inform the Board of prescription deaths, allowing the Board to investigate the incident and determine if there is a violation of the law. Several other enhancements were recommended and were placed into the Board's Sunset Bill that is going through the legislative process.

One of the biggest issues this past fiscal year has been the fast growing issue of prescription drug

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Current Physician and Surgeon Licenses by County

Data reflects physicians with a renewed and current license excluding those in an inactive, retired, or disabled license status. The breakdown of those license statuses is: California - 2,186, Out of State - 4,381, Total - 6,567.

Alameda	4,771	Inyo	44	Monterey	869	San Luis Obispo	778	Trinity	8
Alpine	2	Kern	1,103	Napa	485	San Mateo	2,817	Tulare	506
Amador	64	Kings	135	Nevada	237	Santa Barbara	1,205	Tuolumne	116
Butte	491	Lake	77	Orange	9,581	Santa Clara	7,582	Ventura	1,740
Calaveras	52	Lassen	35	Placer	1,175	Santa Cruz	691	Yolo	515
Colusa	9	Los Angeles	28,672	Plumas	26	Shasta	418	Yuba	43
Contra Costa	3,188	Madera	207	Riverside	2,922	Sierra	0	California total	104,422
Del Norte	36	Marin	1,455	Sacramento	4,522	Siskiyou	84	Out-of-State total	24,219
El Dorado	296	Mariposa	13	San Benito	40	Solano	935	Current licenses total	128,641
Fresno	1,902	Mendocino	205	San Bernardino	3,781	Sonoma	1,392		
Glenn	10	Merced	256	San Diego	10,023	Stanislaus	978		
Humboldt	279	Modoc	7	San Francisco	6,174	Sutter	186		
Imperial	136	Mono	32	San Joaquin	1,069	Tehama	47		

The mission of the Medical Board of California

The mission of the Medical Board of California is to protect health care consumers through proper licensing and regulation of physicians and surgeons and certain allied health care professions and through the vigorous, objective enforcement of the Medical Practice Act, and, to promote access to quality medical care through the Board's licensing and regulatory functions.

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abuse and the resulting deaths, not only in California, but nationally. To assist prescribers and dispensers, on February 21-22, 2013 the Board, in collaboration with the Board of Pharmacy, held a “Joint Forum to Promote Appropriate Prescribing and Dispensing” in San Francisco. The Forum focused on 1) the problem, including inappropriate prescribing, inappropriate security of drugs, etc.; 2) the responsible parties, including prescribers, dispensers, patients, and regulators/law enforcement; and 3) the solutions, including education, enforcement, and necessary tools (CURES). Presenters included representatives from the White House Office of National Drug Control Policy, Drug Enforcement Administration, physicians, pharmacists, and other law enforcement agencies. The Forum was well received and many who attended said they learned valuable information. The Board hopes to hold another Forum during this next fiscal year in Southern California.

As a result of the Joint Forum, a Prescribing Task Force was formed by the Board to address solutions to the problem, including addressing corresponding responsibilities of physicians and pharmacists

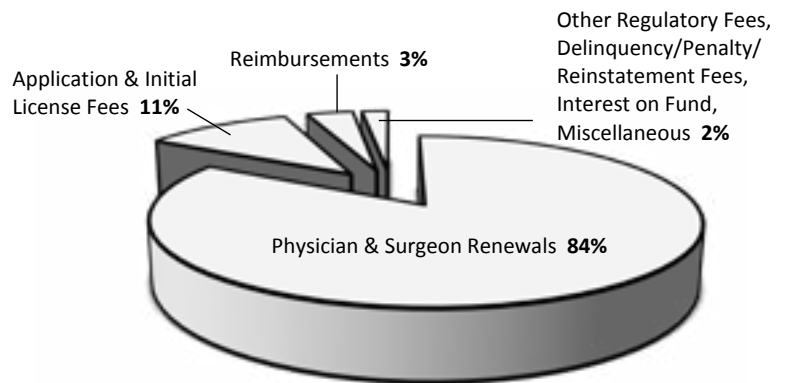
when prescribing and dispensing, re-visiting pain management guidelines, and identifying best practices for prescribing controlled substances.

Finally, in coordination with the Office of Administrative Hearings (OAH), the Board has recommended and assisted in identifying training for Administrative Law Judges (ALJs) who hear Board disciplinary cases. Government Code section 11371 requires that ALJs receive medical training as recommended by the Board. A training program has been developed that includes topics such as pain management and appropriate medication standards, chronic pain issues, new developments in medicine, cardiology, electronic health records, anatomy and physiology, and other relevant subjects. The first training was a day-long course conducted via video conference, with presenters located throughout the State with the ALJs in their respective offices. The training will continue on an ongoing basis and additional training will take place during lunch times. This efficient and cost-effective model will allow the OAH to hold training sessions with presenters and ALJs without accruing travel expenses or interrupting hearings.

Medical Board of California 2012–2013 Fiscal Year

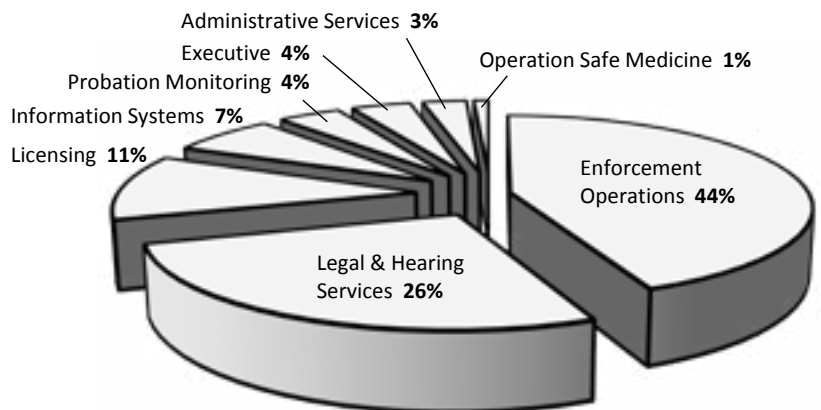
Revenues & Reimbursements

Physician & Surgeon Renewals	\$45,786,000	84%
Application & Initial License Fees	\$6,031,000	11%
Reimbursements	\$1,895,000	3%
Other Regulatory Fees, Delinquency/Penalty/ Reinstatement Fees, Interest on Fund, Miscellaneous	\$1,078,000	2%
Total Receipts	\$54,790,000	100%



Budget Distribution

Enforcement Operations	\$24,394,000	44%
Legal & Hearing Services	\$14,907,000	26%
Licensing	\$6,399,000	11%
Information Systems	\$3,754,000	7%
Probation Monitoring	\$2,239,000	4%
Executive	\$2,132,000	4%
Administrative Services	\$1,571,000	3%
Operation Safe Medicine	\$526,000	1%
Total	\$55,922,000	100%



Licensing Summary

The Medical Board of California’s Licensing Program continues to achieve its mission of protecting the health care of consumers through the proper licensing of physicians and surgeons and certain affiliated healing arts professionals. The Licensing Program also issues fictitious name permits, and licenses non-nurse midwives, research psychoanalysts, spectacle and contact lens dispensers, registered dispensing optician businesses, and polysomnographic trainees, technicians and technologists. The Licensing Program also approves accreditation agencies that accredit outpatient surgical settings in which general anesthesia is being used.

During the last fiscal year, the Licensing Program received 6,697 new physician’s and surgeon’s applications and completed 6,671 initial application reviews. The Licensing Program issued 5,439 new physician’s and surgeon’s licenses. This was an increase of 89 (1.6%) more new licenses than the previous fiscal year. The Licensing Program recognized 104 international medical schools pursuant to California Code of Regulations, Title 16, Division 13, (CCR) Section 1314.1(a)(1) and one international medical school pursuant to CCR Section 1314.1(a)(2). In addition, all physician’s and surgeon’s applications were reviewed within the 60 working days regulatory time frame as well as met the strategic plan goal of review in 45 days of receipt. These accomplishments were achieved despite multiple staff vacancies. Staff continues to identify opportunities to

streamline and improve the application process, and improve the Licensing Program’s outreach efforts.

Assembly Bill 1533 (Mitchell, Chapter 109, Statutes of 2012) added Business and Professions Code (B&P) section 2066.5 that became effective January 1, 2013, which authorizes a new pre-residency five-year pilot program. The pilot program will be administered by the University of California, Los Angeles, David Geffen School of Medicine (UCLA-DGSOM). Spanish speaking international medical school graduates (IMG) will participate in a family medicine pre-residency hands-on clinical instruction program. The Licensing Program works with the UCLA-DGSOM Family Medicine Program Directors regarding the UCLA-DGSOM pilot program, as this pilot program requires the participants to meet the same minimum requirements that all other IMG applicants for a Postgraduate Training Authorization Letter must meet.

Senate Bill 122 (Price, Chapter 789, Statutes of 2012) added B&P Section 2135.7 that became effective January 1, 2013. Prior to this bill, physician and surgeon applicants who received some or all of their medical school education from an unrecognized and/or disapproved medical school were ineligible to apply for licensure. B&P Section 2315.7 authorizes the Board to accept and review applications from applicants who receive medical education from these schools, if the applicant meets certain criteria specified in B&P section 2135.7.

Licensing Program Activity

	FY 11-12	FY 12-13
Physician Applications Received	6,623	6,697
Physician Licenses Issued		
FLEX/USMLE ¹	4,483	4,481
NBME ¹	270	287
Reciprocity with other states (B&P Code §2135)	598	671
Total new licenses issued	5,351	5,439
Renewal licenses issued – with fee	59,341	57,830
Renewal licenses issued – fee exempt ²	5,010	5,094
Total licenses renewed	64,351	62,924
Physician Licenses in Effect³		
California address	102,372	104,422
Out-of-state address	24,111	24,219
Total	126,483 ⁴	128,641⁵
Fictitious Name Permits		
Issued	1,431 ⁶	1,301⁷
Renewed	5,294	5,045
Total number of permits in effect	13,726	14,106

	FY 11-12	FY 12-13
Special Faculty Permits		
Permits issued	2	4
Permits renewed	4	12
Total active permits	15	17
Licensing Enforcement Activity		
Licenses Issued with Public Letter of Reprimand	5	4
Probationary license granted	24	27
License denied (no hearing requested)	0	4
Statement of Issues to deny license filed	11	4
Statement of Issues granted (license denied)	1	1
Statement of Issues denied (license granted)	3	7
Statement of Issues withdrawn	4	1

¹ FLEX: Federation Licensing Exam

USMLE: United States Medical Licensing Exam

NBME: National Board Medical Exam

² Includes physicians with disabled, retired, military, or voluntary services license status

³ Excludes physicians with an inactive, retired, or disabled license status

⁴ Grand Total Physician Licenses in Effect – 132,842

⁵ Grand Total Physician Licenses in Effect – 135,208

⁶ Includes 21 Fictitious Name Permits issued on behalf of the Board of Podiatric Medicine

⁷ Includes 20 Fictitious Name Permits issued on behalf of the Board of Podiatric Medicine

Verification & Reporting Activity Summary

Application Status Verifications	FY 11-12	FY 12-13
Web Applicant Access System (WAAS) application look-up	97,033	97,556
License Status Verifications		
Telephone verifications	28,236	23,284
Non-verification telephone calls	46,236 ¹	59,164 ²
Authorized LVS ³ Internet users	503	516
Online LVS ³ access verifications	2,959,273	4,989,838
Web license look-up	6,724,331	5,611,016
Certification Letters and Letters of Good Standing	8,879	8,776
Reporting Activities		
Disciplinary reports mailed to health facilities upon written request pursuant to B&P Code §805.5	340	379
Adverse Actions reported to the NPDB ⁴	581 ⁵	530 ⁶
B&P Code §805 reports of health facility discipline received	117 ⁷	115 ⁸

- ¹ Does not include the 14,411 listed under Consumer Inquiries on page vi
² Does not include the 11,243 listed under Consumer Inquiries on page vi
³ LVS: Licensing Verification System
⁴ NPDB: National Practitioner Data Bank
⁵ Includes 530 MDs, 11 Doctors of Podiatric Medicine, 31 Physician Assistants, 4 Registered Dispensing Optician Program Registrants, 2 Licensed Midwives and 3 Denials by Licensing Program

2012-2013 Allied Health Care Professions Licenses/Registrations

	Issued	Current
Licensed Midwife	31	297
Dispensing Optician	57	1,174
Contact Lens Dispenser	90	998
Non-Resident Contact Lens Seller	0	10
Spectacle Lens Dispenser	204	2,331
Research Psychoanalyst	4	91
Polysomnographic Trainee	9	9
Polysomnographic Technician	40	40
Polysomnographic Technologist	329	329
Accreditation Agencies for Outpatient Settings	0	4
Doctor of Podiatric Medicine	66	2,120 ⁹

- ⁶ Includes 495 MDs, 7 Doctors of Podiatric Medicine, 19 Physician Assistants, 2 Registered Dispensing Optician Program Registrants, 3 Licensed Midwives and 4 Denials by Licensing Program
⁷ Includes 114 MDs and 3 Doctors of Podiatric Medicine
⁸ Includes 107 MDs and 5 Doctors of Podiatric Medicine and 3 Physician Assistants
⁹ Includes fee-exempt licenses

2012 Licensed Midwife Annual Report Summary¹

	2011	2012
Clients served as primary caregiver at the onset of care	3,934	4,370
Clients served with collaborative care available through or given by a licensed physician and surgeon	2,288	2,532
Clients served under the supervision of a licensed physician and surgeon	257	296
Planned out-of-hospital births at the onset of labor	2,611	2,784
Planned out-of-hospital births completed in an out-of-hospital setting:	2,123	2,316
Twin Births	4	4
Multiple Births (other than Twin Births)	0	1
Breech Births	13	13
VBAC (vaginal births after the performance of a Cesarean section)	115	118
Complications - Resulting in the mortality of the mother prior to transfer	0	0
Complications - Resulting in the mortality of the mother after transfer	0	0
Complications - Resulting in the mortality of the infant prior to transfer	1	0
Complications - Resulting in the mortality of the infant after transfer	5	2
Antepartum - Primary care transferred to another health care practitioner (elective)	247	269
Antepartum - Urgent or emergency transport of expectant mother	63	76
Intrapartum - Elective hospital transfer	388	397
Intrapartum - Urgent or emergency transfer of an infant or mother	64	44
Postpartum - Elective hospital transfer of mother	52	38
Postpartum - Elective hospital transfer of infant	25	29
Postpartum - Urgent or emergency transfer of a mother	34	21
Postpartum - Urgent or emergency transfer of an infant	31	26

¹ Conclusions should not be drawn from this summary as data does not specify whether the death is fetal, intrapartum or neonatal; whether the affected perinate had congenital anomalies incompatible with life; or whether the perinate was born in or out of a hospital. Births are attended by the licensed midwife as the primary caregiver.

Enforcement Summary

During fiscal year 2012 - 2013, the Enforcement Program focused on maintaining the progress made in reducing the vacancy rate and the investigation time frame while improving the quality of the investigations. Administrative outcomes, including obtaining 21 more revocations and surrenders than in the previous year, suggest improvement in the quality of the investigations.

Although more than 500 additional complaints were received this fiscal year than were received in the previous year, the complaint unit reduced the average days to process complaints from 83 days to 67 days.

Another major accomplishment this fiscal year is the referral of 122 cases for criminal action. This is significantly more than the 89 that were referred in the past fiscal year. Operation Safe Medicine, the Board's unlicensed practice unit, continues to contribute to this increase.

Reports Per Business and Professions Code Section 805

	FY 12-13
Total Reports Received	107
Peer Review Body Type	
Health Care Facility/Clinic	77
Hospital/Clinic	0
Surgical Center	1
Health Care Service Plan	10
Professional Society	0
Medical Group/Employer	19
Outcomes of Reports Received	
Surrender	1
Accusation Filed	1
Pending Disposition	78
Cases Closed	27

Enforcement Program Action Summary

Physicians & Surgeons

2012-2013 Malpractice Settlement Reports Received Per Business and Professions Code Section 801.01 by Specialty Practice

Specialty/Subspecialty	No. of Reports ¹	No. of Physicians ²
Anesthesiology	30	5,387
Cardiology	20	2,929
Critical Care	1	1,255
Dermatology	4	2,079
Endocrinology	1	853
Emergency Medicine	28	4,084
Gastroenterology	6	1,604
General/Family Practice	47	9,311
General Surgery	55	4,230
Gynecology	26	5,581
Hematology	2	971
Infectious Disease	3	845
Internal Medicine	48	25,648
Medical Genetics	3	414
Neonatal/Perinatal Medicine	3	649
Neurological Surgery	22	580
Neurology	10	2,207
Obstetrics	44	5,581
Oncology	7	1,411
Ophthalmology	7	2,754
Orthopedic Surgery	38	3,327
Otolaryngology	9	1,542
Pain Medicine	6	575
Pathology	6	3,523
Pediatrics	14	10,504
Physical Medicine & Rehabilitation	3	1,076
Plastic Surgery	18	951
Psychiatry	10	7,043
Pulmonology	4	1,487
Radiation Oncology	1	557
Radiology	42	5,420
Spine Surgery	1	40
Thoracic Surgery	11	673
Urology	12	1,173
Vascular Surgery	3	270

¹ The procedure was performed in the practice specialty/subspecialty; however, the physician may or may not have been certified in the specialty/subspecialty area

² California physicians certified in specialty according to the American Board of Medical Specialties 2012 Certificate Statistics report

Enforcement Program Action Summary

Physicians & Surgeons

	FY 11-12	FY 12-13
Complaints/Investigations¹		
Complaints received	6,923	7,459
Complaints closed by Complaint Unit	5,662	5,840
Investigations		
Cases opened	1,577	1,502
Cases closed	1,544	1,564
Cases referred to the Attorney General (AG)	610	566
Cases referred for criminal action	89	122
Number of probation violation reports referred to the AG	33	24
Consumer Inquiries		
Consumer inquiries	14,411	11,243
Jurisdictional inquiries	7,926	6,184

¹ Some cases closed were opened in a prior fiscal year

Enforcement Field Operations Caseload²

	FY 12-13 ⁶	
	Statewide	Per Investigator
Active investigations	1,219	15
AG assigned cases ³	540	7
Probation Unit Caseload ⁴	Statewide	Per Inspector
Monitoring Cases ⁵	498	29

² Includes physicians and surgeons, licensed midwives, research psychoanalysts, dispensing opticians, outpatient surgery settings, polysomnographic program, doctors of podiatric medicine, physician assistants, psychologists, and osteopathic physicians and surgeons

³ These cases are at various stages of AG processing and may require supplemental investigative work, such as subpoena services, interviewing new victims or witnesses, testifying at hearings, etc.

⁴ Includes physicians and surgeons, licensed midwives, research psychoanalysts, and dispensing opticians

⁵ 88 additional monitoring cases were inactive because the probationer was out of state as of June 30, 2013

⁶ Average is determined by using the total number of authorized positions, including vacant positions

Enforcement Processing Time Frames

Average and median time (calendar days) in processing complaints during the fiscal year, for all cases, from date of original receipt of the complaint, for each stage of discipline, through completion of judicial review:

	FY 11-12		FY 12-13	
	AVG	MED	AVG	MED
Complaint Unit processing	83 days	64 days	67 days	54 days
Investigation	264 days	225 days	268 days	245 days
AG Processing to preparation of an accusation	104 days	78 days	90 days	75 days
Other stages of the legal process (e.g., after charges filed)	396 days	350 days	435 days	366 days

Complaints Received by Type & Source

	Fraud	Health & Safety ¹	Non-Jurisdictional ²	Gross Negligence/Incompetence ³	Other Category	Personal Conduct ⁴	Unprofessional Conduct ⁵	Unlicensed/Unregistered	Total
Public	24	113	1,189	2,497	0	41	909	129	4,902
B&P Code⁶	0	6	0	827	0	113	24	0	970
Licensee/Prof. Group⁷	7	18	26	45	0	30	156	25	307
Government Agency⁸	14	53	13	70	59	280	452	132	1,073
Misc./Anonymous	2	12	12	32	0	19	42	88	207
Totals	47	202	1,240	3,471	59	483	1,583	374	7,459

¹ Health and Safety complaints, e.g., excessive prescribing, sale of dangerous drugs, etc.

² Non-jurisdictional complaints are not under the authority of the Board and are referred to other agencies such as the Department of Health Care Services, Department of Managed Health Care, etc.

³ Gross Negligence/Incompetence complaints are related to the quality of care provided by licensees

⁴ Personal Conduct complaints, e.g., licensee self-abuse of drugs/alcohol, conviction of a crime, etc.

⁵ Unprofessional Conduct complaints include sexual misconduct with patients, discipline by another state, failure to release medical records, etc.

⁶ Reference is to B&P Code §§800 and 2240(a) and includes complaints initiated based upon reports submitted to the Medical Board by hospitals, insurance companies and others, as required by law, regarding instances of health facility discipline, malpractice judgments/settlements, or other reportable activities

⁷ Licensee/Professional Group includes the following complaint sources: other Licensee, Society/Trade Organization, and Industry

⁸ Governmental Agency includes the following complaint sources: Internal, Law Enforcement Agency, other California State Agency, other State, other Unit of Consumer Affairs, and Federal or other Governmental Agency

Reports Received Based Upon Legal Requirements

	FY 11-12	FY 12-13
Medical Malpractice		
Insurers: B&P Code §801.01	497	556
Attorneys or Self-Reported or Employers: B&P Code §801.01	240	181
Courts: B&P Code §803	4	6
Total Malpractice Reports	741	743
Coroners' Reports: B&P Code §802.5	4	8
Criminal Charges & Convictions: B&P Code §§802.1 & 803.5	68	98
Health Facility Discipline Reports Medical Cause or Reason: B&P Code §805	114	107
Health Facility Reports: B&P Code §805.01	16	9
Outpatient Surgery Settings Reports Patient Death: B&P Code §2240(a)	7	12

Enforcement Program Action Summary

	FY 11-12	FY 12-13
Administrative Actions		
Accusation	312	291
Petition to Revoke Probation	34	28
Amended Accusation/Petition to Revoke Probation	60	78
Number of completed investigations referred to the AG awaiting the filing of an Accusation as of June 30, 2013	155	160
Number of cases over 6 months old that resulted in the filing of an Accusation	251	227
Administrative Outcomes		
Revocation	46	58
Surrender (in lieu of Accusation or with Accusation pending)	71	80
Suspension	0	4
Probation with Suspension	13	19
Probation	117	119
Probationary License Issued	24	27
Public Reprimand	121	80
Other Actions (e.g., exam required, educational course, etc.)	1	7
Accusation Withdrawn ¹	12	18
Accusation Dismissed	9	9
Dispositions of Probation Filings		
Probation Revoked or License Surrendered	21	11
Additional Suspension and Probation	1	2
Additional Suspension or Probation	8	8
Public Reprimand	0	1
Other	0	0
Petition Withdrawn/Dismissed	3	5
Referral and Compliance Actions		
Citation and Administrative Fines Issued	139	157

¹ Accusations withdrawn for the following reasons: physician passed a competency exam; physician met stipulated terms and conditions; physician was issued a citation/fine instead; physician died, etc.

² Penalty Relief includes Petitions for Modification of Penalty and Petitions for Termination of Probation

	FY 11-12	FY 12-13
Petition Activity		
Petition for Reinstatement of License Filed	20	15
Petition for Reinstatement of License Granted	6	7
Petition for Reinstatement of License Denied	11	13
Petition for Penalty Relief ² Granted	36	30
Petition for Penalty Relief ² Denied	10	5
Petition to Compel Exam Filed	20	5
Petition to Compel Exam Granted	18	5
Petition to Compel Exam Denied	0	0
License Restrictions/Suspensions Imposed While Administrative Action Pending		
Interim Suspension Orders	28	27 ³
Temporary Restraining Orders	0	0
Other Suspension Orders	36	33 ⁴
License Restrictions/Suspensions/Temporary Restraining Orders Sought and Granted by Case Type		
<i>Note: Some orders granted were sought in prior fiscal year.</i>	Sought	Granted
Gross Negligence/Incompetence	9	6
Inappropriate Prescribing	5	12
Unlicensed Activity	10	5
Sexual Misconduct	12	8
Mental/Physical Illness	9	11
Self-Abuse of Drugs or Alcohol	4	4
Fraud	2	3
Criminal Charges/Conviction of a Crime	12	4
Unprofessional Conduct	5	7
Total	68	60

³ Pursuant to B&P Code §2220.05(c), ISOs and TROs were granted in the following priority categories: 2 - gross negligence/incompetence resulting in death or serious bodily injury, 0 - drug or alcohol abuse involving death or serious bodily injury, 2 - excessive prescribing, 3 - sexual misconduct with a patient, and 0 - practicing under the influence of drugs/alcohol

⁴ Includes 4 - Automatic Suspension Orders per B&P Code §2236, 14 - license restrictions per Penal Code §23, 8 - out-of-state suspension orders per B&P Code §2310, 0 - stipulated agreement to suspend or restrict the practice of medicine, and 7 - suspension/cease practice orders issued by the Chief of Enforcement for violation of probation condition

Administrative Outcomes by Case Type¹

	Revocation	Surrender	Suspension Only	Probation with Suspension	Probation	Probationary License Issued	Public Reprimand	Other Action	Total Actions
Gross Negligence/Incompetence	11	23	1	6	41	0	47	1	130
Inappropriate Prescribing	8	10	0	2	19	0	5	1	45
Unlicensed Activity	0	1	0	0	7	0	9	0	17
Sexual Misconduct	6	10	0	1	5	0	2	0	24
Mental/Physical Illness	6	15	1	0	3	0	0	0	25
Self-Abuse of Drugs/Alcohol	8	9	1	4	21	0	1	2	46
Fraud	10	4	0	1	1	0	2	0	18
Conviction of a Crime	4	3	0	4	10	0	1	0	22
Unprofessional Conduct	5	5	1	1	7	27	13	2	61
Miscellaneous Violations	0	0	0	0	5	0	0	1	6
Totals by Discipline Type	58	80	4	19	119	27	80	7	394

¹ Pursuant to B&P Code §2220.05(c), disciplinary actions were taken in the following priority categories: 49 - gross negligence/incompetence resulting in death or serious bodily injury, 0 - practicing under the influence of drugs/alcohol, 26 - excessive prescribing, 17 - sexual misconduct with a patient, and 2 - practicing under the influence of drugs/alcohol

Enforcement Action Summary

Allied Health Care Professionals¹

	FY 11-12	FY 12-13
Complaints/Investigations		
Complaints received	484	498
Complaints closed by Complaint Unit	389	382
Investigations		
Cases opened	238	253
Cases closed	217	255
Cases referred to the AG	102	118
Cases referred for criminal action	23	21
Number of Probation Violation Reports referred to AG	3	2
License Restrictions/Suspensions Imposed While Administrative Action is Pending		
Interim Suspension Orders	7	5
Other Suspension Orders	4	13 ²
Administrative Actions		
Accusation	20	26
Petition to Revoke Probation	3	1
Amended Accusation/Petition to Revoke Probation	3	1
Statement of Issues to deny application	1	3
Number of completed investigations referred to AG's Office awaiting the filing of an Accusation as of June 30, 2012	8	16

¹ Allied Health Care Professionals includes licensed midwives, research psychoanalysts, dispensing opticians, outpatient surgery settings, polysomnographic program, doctors of podiatric medicine, physician assistants, except in the categories of Investigations and License Restrictions/Suspensions Imposed While Administrative Action is Pending, which also includes psychologists and osteopathic physicians and surgeons

² Includes 9 - license restrictions per Penal Code §23, 1 - out-of-state suspension order per B&P Code §2310, and 3 - stipulated agreement to suspend or restrict the practice of psychology

³ Penalty Relief includes Petitions for Modification of Penalty and Petitions for Termination of Probation

	FY 11-12	FY 12-13
Administrative Outcomes		
Revocation	3	6
Surrender (in lieu of Accusation or with Accusation pending)	5	4
Probation with Suspension	1	1
Probation	14	10
Probationary License Issued	9	3
Public Reprimand	1	2
Other Actions (e.g., exam required, Education course, etc.)	0	0
Statement of Issues Granted (License Denied)	1	0
Statement of Issues Denied (License Granted)	0	2
Accusation/Statement of Issues Withdrawn	3	0
Accusation Dismissed	1	0
Dispositions of Probation Filings		
Additional Probation or Suspension	2	0
Probation Revoked or License Surrendered	0	0
Petition Withdrawn or Dismissed	1	0
Referral and Compliance Actions		
Citation and Administrative Fines Issued	4	11
Office Conferences Conducted	0	1
Petition Activity		
Petition for Reinstatement of License filed	1	0
Petition for Reinstatement of License granted	0	0
Petition for Reinstatement of License denied	1	0
Petition for Penalty Relief ³ granted	2	0
Petition for Penalty Relief ³ denied	0	0
Petition to Compel Exam granted	0	0
Petition to Compel Exam denied	0	0

Reports Received Based Upon Legal Requirements

	FY 11-12	FY 12-13
Medical Malpractice		
Insurers: B&P Code §§801 and 801.01	21	20
Attorneys or Self-Reported or Employers: B&P Code §§801 and 801.01	5	7
Courts: B&P Code §803	3	0
Total Malpractice Reports	29	27
Coroners' Reports: B&P Code §802.5	1	0
Criminal Charges & Convictions: B&P Code §§802.1 & 803.5	3	7
Health Facility Discipline Reports Medical Cause or Reason: B&P Code §805	3	8
Outpatient Surgery Settings Reports Patient Death: B&P Code §2240(a)	0	0

Medical Board of California

Fiscal Year 2012 - 2013 Board Members

Michael Bishop, M.D.	David Serrano Sewell, J.D.
Silvia Diego, M.D.	Janet Salomonson, M.D.
Dev GnanaDev, M.D.	Gerrie Schipske, R.N.P., J.D.
Sharon Levine, M.D.	Phil Tagami
Reginald Low, M.D.	Barbara Yaroslavsky
Denise Pines	Felix Yip, M.D.